User Agent Accessibility Guidelines 1.0

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Abstract

This document provides guidelines for designing user agents that lower barriers to Web accessibility for people with disabilities (visual, hearing, physical, and cognitive). User agents include HTML browsers and other types of software that retrieve and render Web content . A user agent that conforms to these guidelines will promote accessibility through its own user interface and through other internal facilities, including its ability to communicate with other technologies (especially assistive technologies). Furthermore, all users, not just users with disabilities, are expected to find conforming user agents to be more usable.

In addition to helping developers of HTML browsers, media players, etc., this document will also benefit developers of assistive technologies because it explains what types of information and control an assistive technology may expect from a conforming user agent. Technologies not addressed directly by this document (e.g., technologies for braille rendering) will be essential to ensuring Web access for some users with disabilities.

Status of this document

This section describes the status of this document at the time of its publication. Other documents may supersede this document. The latest status of this document series is maintained at the W3C.

This is the 9 April 2001 last call Working Draft of the "User Agent Accessibility Guidelines 1.0". This last call review period ends 4 May 2001. Please send comments before the review period ends to the public mailing list w3c-wai-ua@w3.org; public archives are available.

This is the third last call for comments on "User Agent Accessibility Guidelines 1.0". The previous formally published version of this document was the 23 October 2000 last call Working Draft, for which the review period ended 13 November 2000. Since that date, the User Agent Accessibility Guidelines Working Group (UAWG) has clarified the document and addressed the issues raised during the (second) last call. There have been ten (public) Working Group drafts since the end of the second last call review period. At their 29 March 2001 teleconference, the Working Group decided to advance the revised document to a third last call.

A list of changes to this document is available.

To help the Working Group compose an implementation report (as part of advancing the document on the W3C Recommendation track), reviewers are encouraged to evaluate software and to report (e.g., by filling out a checklist *[UAAG10-CHECKLIST]*) how that software satisfies the requirements of the document.

Publication as a Working Draft does not imply endorsement by the W3C Membership. This is a draft document and may be updated, replaced or obsoleted by other documents at any time. It is inappropriate to cite W3C Working Drafts as other than "work in progress."

This document is part of a series of accessibility documents published by the Web Accessibility Initiative (WAI) of the World Wide Web Consortium (W3C). WAI Accessibility Guidelines are produced as part of the WAI Technical Activity. The goals of the User Agent Accessibility Guidelines Working Group are described in the charter.

A list of current W3C Recommendations and other technical documents can be found at the W3C Web site.

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An appendix to this document *[UAAG10-SUMMARY]* summarizes the document's principal goals and structure.

Another appendix to this document *[UAAG10-CHECKLIST]* lists all checkpoints for convenient reference (e.g., as a tool for developers to evaluate software for conformance).

Related resources

A separate document, entitled "Techniques for User Agent Accessibility Guidelines 1.0" *[UAAG10-TECHS]* , provides suggestions and examples of how each checkpoint might be satisfied. It also includes references to other accessibility resources (such as platform-specific software accessibility guidelines) that provide additional information on how a user agent may satisfy each checkpoint. The

techniques provided in "Techniques for User Agent Accessibility Guidelines 1.0" are informative examples only, and other strategies may be used or required to satisfy the checkpoints. The Techniques document is expected to be updated more frequently than the current guidelines.

The Web Accessibility Initiative provides other resources and educational materials to promote Web accessibility. Resources include information about accessibility policies, links to translations of WAI materials into languages other than English, information about specialized user agents and other tools, accessibility training resources, and more.

1. Introduction

This document specifies requirements that, if satisfied by user agent developers, will lower barriers to accessibility. This introduction (section 1) provides context for understanding the guidelines listed in section 2 . Section 1 explains the relationship of this document to other accessibility guidelines published by the Web Accessibility Initiative, which user agents are expected to conform , known limitations of this document, and the relationship of this document to other software design guidelines. Section 3 explains how to make claims that software conforms to these guidelines and details about the applicability of the requirements for different kinds of user agents.

1.1 Relationship to WAI accessibility guidelines

"User Agent Accessibility Guidelines 1.0" (UAAG 1.0) is part of a series of accessibility guidelines published by the Web Accessibility Initiative (WAI). The documents in this series reflect an accessibility model in which Web content authors, format designers, and software developers have roles in ensuring that users with disabilities have access to the Web. These agents intersect and complement each other as follows:

- Protocol (e.g., HTTP) and content format (e.g., HTML, XML, SVG, etc.) specifications allow communication on the Web. These specifications includes features that authors should use to create accessible content, and features that user agents should support through an accessible user interface.
- Authors make use of the accessibility features of different format specifications, use markup appropriately, write in clear and simple language, organize a Web site consistently, etc. The "Web Content Accessibility Guidelines 1.0" *[WCAG10]* explains the responsibilities of authors in meeting the needs of users with disabilities. In User Agent Accessibility Guidelines 1.0, WCAG 1.0 is considered the reference for what defines accessible Web content. The "Authoring Tool Accessibility Guidelines 1.0" *[ATAG10]* explains the responsibilities of authoring tool developers. An accessible authoring tool facilitates the creation of accessible Web content and may be operated by users with disabilities.
- User agent developers design software that conforms to specifications (including implementation of their accessibility features), provides an accessible user interface, accessible documentation, and communicates with other software (notably assistive technologies).

This document explains the responsibilities of user agents in meeting the needs of users with disabilities. The requirements of this document interact with those of the "Web Content Accessibility Guidelines 1.0" *[WCAG10]* in a number of ways:

- UAAG 1.0 checkpoint 8.1 requires implementation of the accessibility features of all implemented specifications. Features are those identified as such and those that satisfy all of the requirements of WCAG 1.0 *[WCAG10]* .
- UAAG 1.0 checkpoint 12.1 requires conformance to WCAG 1.0 for user agent

documentation.
- UAAG 1.0 also incorporates some terms and concepts from WCAG 1.0, a natural consequence of fact that the documents were designed to complement one another.

Formats, authors, and designers all have limitations. No format allows authors to encode all of their knowledge in a way that a user agent can recognize . A format may lack features required for accessibility. An author may not make use of the accessibility features of a format or may misuse a format (which can cause problems for user agents). A user agent designer may not implement a format specification correctly or completely. Some requirements of this document take these limitations into account.

- UAAG 1.0 includes requirements to satisfy the expectations set by WCAG 1.0 "until user agent" clauses. These clauses make additional requirements of authors in order to compensate for some limitations of deployed user agents.
- UAAG 1.0 includes several repair requirements (e.g., checkpoints checkpoint 2.7 and checkpoint 2.10) for cases where content does not conform to WCAG 1.0. Furthermore, this document includes some requirements to address certain widespread authoring practices that are discouraged because they may cause accessibility or usability problems (e.g., some uses of HTML frames).
- Except for the indicated repair checkpoints, UAAG 1.0 only requires user agents to handle what may be recognized through protocols and formats. For example, user agents are not expected to recognize that the author has used "clear and simple" language to express ideas. Please see the section on checkpoint applicability for more information about what the user agent is expected to recognize.

1.2 Target user agents

This document was designed specifically to improve the accessibility of mainstream user agents with multimedia capabilities for users with one or more disabilities (including visual, hearing, physical, and cognitive). In this context, a mainstream user agent is one designed for the general public to handle general-purpose content in ordinary operating conditions. It is expected that a conforming user agent will typically consist of a Web browser, one or more media players, and possibly other components.

A user agent that conforms to these guidelines will enable access through its own user interface and through other internal facilities, including its ability to communicate with other technologies (especially assistive technologies). Technologies not addressed directly by this document (e.g., those for braille rendering) will be essential to ensuring Web access for some users with disabilities. Note that the ability of conforming user agents to communicate well with assistive technologies will depend in part on the willingness of assistive technology developers to follow the same standards and conventions for communication.

This document allows a certain amount of flexibility in the features a user agent must support in order to conform. For example, some user agents may conform even though they do not support certain content types (such as video or audio) or input modalities (such as mouse or voice). See the section on conformance for more information.

1.3 Known limitations of this document

People with (or without) disabilities access the Web with widely varying sets of capabilities, software, and hardware. Some users with disabilities:

- May not be able to see, hear, move, speak, or may not be able to process some types of information easily or at all.
- May have difficulty reading or comprehending text.
- May not have or be able to use a keyboard or pointing device.

This document does not include requirements to meet all known accessibility needs. Some known limitations of this document include the following:

- Braille. This document does not address braille rendering.
- Synthesized speech. This document includes few checkpoints related to synthesized speech output (in guideline 5).
- Size and color of non-text content. This document includes some checkpoints to ensure that the user is able to control the size and color of visually rendered text content (checkpoints 4.1 and 4.3). This document does not in general address control of the size and color of visually rendered non-text content . **Note:** Resizing capabilities may be required for conformance to other specifications (e.g., SVG *[SVG]*).
- Background image interference. The requirement of checkpoint 3.1 to allow the user to turn off rendering of background images does not extend to multi-layered rendering.
- Input modalities. This document only includes requirements for keyboard, pointing device, and voice input modalities. This document includes several checkpoints related to voice input as part of general input requirements (e.g., the checkpoints of guideline 7 and guideline 11)) but does not otherwise address voice-based navigation or control. **Note:** The UAWG intends to coordinate further work on the topics of voice input and synthesized speech output with groups in W3C's Voice Browser Activity.
- User control of every user interface component. This document includes some requirements for user control of user interface components that may be changed through content (see guideline 5). However, these requirements do not account for every user interface component that the author may affect (e.g., the author might supply a script that causes text to scroll in the status bar). User agents are required to follow software usability guidelines (see checkpoint 7.3), which are also expected to include requirements for user control over user interface behavior.
- Time. This document includes requirements for control of some time parameters

(including checkpoint 2.4, checkpoint 4.4, checkpoint 4.5, and checkpoint 4.11). The requirements are for time parameters that the user agent recognizes and controls. This document does not include requirements for control of time parameters managed on the server.

- Security. This document does not address security issues that may arise as a result of these requirements. For instance, requirements that software be able to read and write content and user interface information through APIs raise security issues. See the section on restricted functionality and conformance .
- Intellectual property. This document does not address intellectual property issues that may arise as a result of these requirements.

1.4 Relationship to general software design guidelines

Considerable effort has been made to ensure that the requirements of this document are compatible with other good software design practices. However, this document does not purport to be a complete guide to good software design. For instance, the general topic of user interface design for computer software exceeds the scope of this document, though some user interface requirements have been included because of their importance to accessibility. The "Techniques for User Agent Accessibility Guidelines 1.0" *[UAAG10-TECHS]* includes some references to general software design guidelines and platform-specific accessibility guidelines (see checkpoint 7.3). Involving people with disabilities in the design and testing of software will generally improve the accessibility of the software.

Installation is an important aspect of both accessibility and general software usability. On platforms where a user can install a user agent, the installation (and update) procedures need to be accessible. This document does not include a checkpoint requiring that installation procedures be accessible. Since this document considers installation to be part of software usage, the different aspects of installation (user interface, documentation, operating environment conventions, etc.) are already covered by the complete set of checkpoints.

Benefits of accessible user agent design

Many users without disabilities are likely to benefit from the requirements developed to benefit users with disabilities. For example, users without disabilities:

- may have a text-only screen, a small screen, or a slow Internet connection (e.g., via a mobile phone browser). These users are likely to benefit from the same features that provide access to people with low vision or blindness.
- may be in a situation where their eyes, ears, or hands are busy or interfered with (e.g., driving to work, working in a noisy environment, etc.). These users are likely to benefit from the same features that provide access to people who cannot use a mouse or keyboard due to a visual, hearing, or physical disability.
- may not understand fluently the natural language of spoken content. These users are likely to benefit from the same visual rendering of text equivalents that make spoken language accessible to people with a hearing disability.

Software that satisfies the requirements of this document is expected to be more flexible, manageable, extensible, and beneficial to all users. For example, a user agent architecture that allows programmatic access to content and the user interface will encourage software modularity and reuse, and will enable operation by scripting tools and automated test engines in addition to assistive technologies.

2. The user agent accessibility guidelines

The twelve guidelines in this document state general principles for the development of accessible user agents. Each guideline includes:

- The guideline number.
- The statement of the guideline.
- The rationale behind the guideline and identification of some groups of users who benefit from it.
- A list of checkpoint definitions. This list may be split into groups of related checkpoints. For instance, the list might be split into one group of "checkpoints for visually rendered text" and second group of "checkpoints for audio volume control"." Within each group, checkpoints are ordered according to their priority , e.g., Priority 1 before Priority 2. Within a guideline, checkpoint groupings and checkpoint order have no bearing on conformance .

Each checkpoint definition includes:

- The checkpoint number.
- The statement of the checkpoint. The statement of the checkpoint is one or more requirements that must be satisfied by the user agent (i.e., the "subject of the claim) for the purposes of conformance . The "user agent" may consist of more than one software component, as explained in the section on well-formed conformance claims .
- The priority of the checkpoint.
- A "content or user agent or both" label indicating whether the requirements of the checkpoint must be satisfied for content only, for user agent features only, or for both.
- Content type labels (zero or more). Content type labels are explained in the section on conformance .
- Informative notes about the checkpoint (beginning with the word "**Note**"). These notes do **not** state requirements that must be satisfied as part of conformance; they are informative only. They are meant to clarify the scope of the checkpoint through further description, examples, cross references, and commentary. **Note:** Some checkpoints in this document are more general than others, and some may overlap in scope. Therefore, a checkpoint may be identified as a "special case" or an "important special case" of one or more other checkpoints.
- A link to informative rationale, implementation details, references, and more information in "Techniques for User Agent Accessibility Guidelines 1.0" *[UAAG10-TECHS]* .

Each checkpoint is intended to express one or more minimal requirements clearly, so that someone evaluating a user agent may verify that it satisfies the requirements. User agent developers are encouraged surpass the minimal requirements expressed by the checkpoints. Indeed, for some requirements, it is expected that developers will find it easier or less costly to implement a solution that

is more general than one that would only satisfy the minimal requirements of a checkpoint. Both this document and "Techniques for User Agent Accessibility Guidelines 1.0" *[UAAG10-TECHS]* suggest techniques to help user agent developers meet or surpass the minimal requirements. **Note:** In some cases, though the requirement of a checkpoint may be clear, without documentation from vendors (e.g., about implemented APIs), it may be difficult to verify that the subject of a conformance claim has satisfied the requirement. Some checkpoints (e.g., those requiring developers to follow conventions or implement specifications defined outside this document) are inherently more subject to interpretation than others.

Note: The requirements of certain checkpoints in this document bear upon the requirements of others. For instance, the keyboard requirements of checkpoint 1.1 influence the input device requirements of the other checkpoints. As a result, these other checkpoints need only state "Allow configuration" instead of "Allow configuration through the keyboard." Because the document "factors out" requirements in this manner, first-time readers are encouraged to read the context provided for each checkpoint, including the guideline prose, the surrounding checkpoints (since nearby checkpoints are often related), notes after checkpoints, and associated techniques (in the Techniques document *[UAAG10-TECHS]*). The checklist *[UAAG10-CHECKLIST]* is also a useful tool (e.g., for evaluating a user agent for conformance), but does not provide the same contextual support.

Priorities

Each checkpoint in this document is assigned a priority that indicates its importance for users with disabilities.

[Priority 1]
: This checkpoint **must** be satisfied by user agents, otherwise one or more groups of users with disabilities will find it impossible to access the Web. Satisfying this checkpoint is a basic requirement for enabling some people to access the Web.

[Priority 2]
: This checkpoint **should** be satisfied by user agents, otherwise one or more groups of users with disabilities will find it difficult to access the Web. Satisfying this checkpoint will remove significant barriers to Web access for some people.

[Priority 3]
: This checkpoint **may** be satisfied by user agents to make it easier for one or more groups of users with disabilities to access information. Satisfying this checkpoint will improve access to the Web for some people.

Guideline 1. Support input and output device-independence.

Ensure that the user can interact with the user agent (and the content it renders) through different input and output devices.

Since people use a variety of devices for input and output, user agent developers need to ensure redundancy in the user interface . The user may have to operate the user interface with a variety of input devices (keyboard, pointing device, keyboard, speech input, etc.) and output devices (graphical display, speech output, braille display, etc.).

Though it may seem contradictory, enabling full user agent operation through the keyboard is an important part of promoting device-independence given today's user agents. In addition to the fact that some form of keyboard is supported by most platforms, there are several reasons for this:

- For some users (e.g., users with blindness or physical disabilities), operating a user agent with a pointing device may be difficult or impossible since it requires tracking the pointing device position in a two-dimensional visual space. Keyboard operation does not generally require as much movement "through space".
- Some assistive technologies that support a diversity of input and output mechanisms use keyboard APIs for communication with some user agents; see checkpoint 6.7. People who cannot or do not use a pointing device may interact with the user interface with the keyboard, through voice input, a head wand, touch screen, or other device.

While this document only requires keyboard operation for conformance , it promotes device-independence by also allowing people to claim conformance for full pointing device support or full voice support.

As a way to promote output device independence, this guideline requires support for text messages in the user interface because text may be rendered visually, as synthesized speech, and as braille.

The API requirements of guideline 6 also promote device independence by ensuring communication with specialized software.

Checkpoints

1.1 Ensure that the user can operate the user agent fully through keyboard input alone. [Priority 1] Both content and user agent.

> **Note:** For example, ensure that the user can interact with enabled elements , select content, navigate viewports, configure the user agent, access documentation, install the user agent, operate controls of the user interface, etc., all entirely through keyboard input. It is also possible to claim conformance to this document for full support through pointing device input and voice input. See the section on input modality labels .

1.2 For the element with content focus , allow the user to activate any explicitly associated input device event handlers through keyboard input alone. [Priority 1] Content only.

> **Note:** The requirements for this checkpoint refer to **any** explicitly associated input device event handlers associated with an element, independent of the input modalities for which the user agent conforms. For example, suppose that an element has an explicitly associated handler for pointing device events. Even when the user agent only conforms for keyboard input (and does not conform for the pointing device, for example), this checkpoint requires the user agent to allow the user to activate that handler with the keyboard. This checkpoint is an important special case of checkpoint 1.1. Please refer to the checkpoints of guideline 9 for more information about focus requirements.

1.3 Ensure that every message (e.g., prompt , alert , notification, etc.) that is a non-text element and is part of the user agent user interface has a text equivalent . [Priority 1] User agent only.

> **Note:** For example, if the user is alerted of an event by an audio cue, a visually-rendered text equivalent in the status bar would satisfy this checkpoint. Per checkpoint 6.4, a text equivalent for each such message must be available through a standard API . See also checkpoint 6.5 for requirements for programmatic alert of changes to the user interface.

Guideline 2. Ensure user access to all content.

Ensure that users have access to all content, notably conditional content that may have been provided to meet the requirements of the Web Content Accessibility Guidelines 1.0 [WCAG10].

The checkpoints in this section require the user agent to provide access to all content through a series of complementary mechanisms designed so that if one fails, another will provide some access. The following preferences are embodied in the checkpoints:

- Not all content is rendered at all times. Automatic decision by the user agent about when and where to render conditional content is preferred, but manual choice by the user may be necessary for access.
- Structure is preferred (both the author's specified preferences and the user's structured access), but unstructured access may be necessary for access to all content.
- Rendering according to specification is preferred, but a source view of text content may be necessary for access (e.g., because of user-side error conditions, authoring errors, inadequate specification, or incorrect user agent implementation). For example, the user may have to look at URIs for information, HTML comments, XML element names, or script data. The user

agent should respect authoring synchronization cues for content that changes over time, but also needs to allow the user to control the time intervals when user input is possible.
- Local control of rendering is important; global configuration of rendering preferences is convenient for access.

Authors may use the conditional content mechanisms of a specification to satisfy the requirements of the Web Content Accessibility Guidelines 1.0 *[WCAG10]* . Ensuring access to conditional content benefits all users since some users may not have access to some content due to a technological limitation (e.g., their mobile browser cannot display graphics) or simply a configuration preference (e.g., they have a slow Internet connection and prefer not to download movies or images).

Checkpoints

2.1 For all format specifications that the user agent implements , make content available through the rendering processes described by those specifications. [Priority 1] Content only.

Note: This includes format-defined interactions between author preferences and user preferences/capabilities (e.g., when to render the "`alt`" attribute in HTML *[HTML4]* , the rendering order of nested `OBJECT` elements in HTML, test attributes in SMIL *[SMIL]* , and the cascade in CSS2 *[CSS2]*). If a conforming user agent does not render a content type, it should allow the user to choose a way to handle that content (e.g., by launching another application, by saving it to disk, etc.). This checkpoint does not require that all content be available through each viewport .

2.2 For all text formats that the user agent implements , provide a view of the text source. Text formats include at least the following: (1) all media objects given an Internet media type of "text" (e.g., text/plain, text/HTML, or text/*), and (2) all SGML and XML applications, regardless of Internet media type (e.g., HTML 4.01, XHTML 1.1, SMIL, SVG, etc.). [Priority 1] Content only.

Note: Refer to *[RFC2046]* , section 4.1 for information about the "text" Internet media type. A user agent would also satisfy this checkpoint by providing a source view for any text format, not just implemented text formats.

2.3 Allow global configuration so that, for each piece of unrendered conditional content "C", the user agent alerts the user to the existence of the content and provides access to it. Provide access to this content according to format specifications or where unspecified, as follows. If C has a close relationship (e.g., C is a summary, title, alternative, description, expansion, etc.) with another piece of rendered content D, do at least one of the following: (1a) render C in place of D, (2a) render C in addition to D, (3a) provide access to C by querying D, or (4a) allow the user to follow a link to C from the context of D. If C does not have a close

relationship to other content (i.e., a relationship other than just a document tree relationship), do at least one of the following: (1b) render a placeholder for C, (2b) provide access to C by query (e.g., allow the user to query an element for its attributes), or (3b) allow the user to follow a link in context to C. [Priority 1] Content only.

Note: The configuration requirement of this checkpoint is global; the user agent is only required to provide one switch that turns on or off these alert and access mechanisms. To satisfy this checkpoint, the user agent may provide access on an element-by-element basis (e.g., by allowing the user to query individual elements) or for all elements (e.g., by offering a configuration to render conditional content all the time). For instance, an HTML user agent might allow users to query each element for access to conditional content supplied for the "`alt`", "`title`", and "`longdesc`" attributes. Or, the user agent might allow configuration so that the value of the "`alt`" attribute is rendered in place of all `IMG` elements (while other conditional content might be made available through another mechanism).

2.4 For content where user input is only possible within a finite time interval controlled by the user agent, allow configuration to make the time interval "infinite". Do this by pausing automatically at the end of each time interval where user input is possible, and resuming automatically after the user has explicitly completed input. In this configuration, alert the user when the session has been paused and which enabled elements are time-sensitive. [Priority 1] Content only.

Note: In this configuration, the user agent may have to pause the presentation more than once if there is more than one opportunity for time-sensitive input. In SMIL 1.0 *[SMIL]* , for example, the "`begin`", "`end`", and "`dur`" attributes synchronize presentation components. The user may explicitly complete input in many different ways (e.g., by following a link that replaces the current time-sensitive resource with a different resource). This checkpoint does not apply when the user agent cannot recognize the time interval in the presentation format, or when the user agent cannot control the timing (e.g., because it is controlled by the server).

2.5 Allow configuration or control so that text transcripts , collated text transcripts , captions , and auditory descriptions are rendered at the same time as the associated audio tracks and visual tracks . [Priority 1] Content only.

Content type labels : Video , Audio .

Note: This checkpoint is an important special case of checkpoint 2.1.

2.6 Respect synchronization cues during rendering. [Priority 1] Content only.

Content type labels : Video , Audio .

Note: This checkpoint is an important special case of checkpoint 2.1.

2.7 Allow configuration to generate repair text when the user agent recognizes that the author has failed to provide conditional content that was required by the format specification. The user agent may satisfy this checkpoint by basing the repair text on any of the following available sources of information: URI reference, content type, or element type. [Priority 2] Content only.

Note: Some markup languages (such as HTML 4 *[HTML4]* and SMIL 1.0 *[SMIL]* require the author to provide conditional content for some elements (e.g., the "`alt`" attribute on the `IMG` element). Repair text based on URI reference, content type, or element type is sufficient to satisfy the checkpoint, but may not result in the most effective repair. Information that may be recognized as relevant to repair might not be "near" the missing conditional content in the document object . For instance, instead of generating repair text on a simple URI reference, the user agent might look for helpful information near a different instance of the URI reference in the same document object, or might retrieve useful information (e.g., a title) from the resource designed by the URI reference.

2.8 Allow configuration so that when the user agent recognizes that conditional content required by the format specification is present but empty (e.g., the empty string), the user agent either (1) generates no repair text , or (2) generates repair text as described in checkpoint 2.7. [Priority 3] Content only.

Note: In some authoring scenarios, an empty string of text (e.g., "`alt=''`") may be considered to be an appropriate text equivalent (for instance, when some non-text content has no other function than pure decoration, or an image is part of a "mosaic" of several images and doesn't make sense out of the mosaic). Please refer to the Web Content Accessibility Guidelines 1.0 *[WCAG10]* for more information about text equivalents.

2.9 Allow configuration to render all conditional content automatically. Provide access to this content according to format specifications or where unspecified, by applying one of the following techniques described in checkpoint 2.3: 1a, 2a, or 1b. [Priority 3] Content only.

Note: The user agent satisfies this checkpoint if it satisfies checkpoint 2.3 by applying techniques 1a, 2a, or 1b. For instance, an HTML user agent might allow configuration so that the value of the "`alt`" attribute is rendered in place of all `IMG` elements (while other conditional content might be made available through another mechanism).

2.10 Allow configuration not to render content in unsupported natural languages . Indicate to the user in context that author-supplied content has not been rendered. [Priority 3] Content only.

Note: For example, use a text substitute or accessible graphical icon to indicate that content in a particular language has not been rendered. This checkpoint

does not require the user agent to allow different configurations for different natural languages.

Guideline 3. Allow configuration not to render some content that may reduce accessibility.

Ensure that the user may turn off rendering of content (audio, video, scripts, etc.) that may reduce accessibility by obscuring other content or disorienting the user.

Some content or behavior specified by the author may make the user agent unusable or may obscure information. For instance, flashing content may trigger seizures in people with photosensitive epilepsy, or may make a Web page too distracting to be usable by someone with a cognitive disability. Blinking text can affect screen reader users, since screen readers (in conjunction with speech synthesizers or braille displays) may re-render the text every time it blinks. Distracting background images, colors, or sounds may make it impossible for users to see or hear other content. Dynamically changing Web content may cause problems for some assistive technologies . Scripts that cause unanticipated changes (viewports that open, automatically redirected or refreshed pages, etc.) may disorient some users with cognitive disabilities.

This guideline requires the user agent to allow configuration so that, when loading Web resources , the user agent does not render content in a manner that may pose accessibility problems. Requirements for interactive control of rendered content are part of guideline 4.

Checkpoints

3.1 Allow configuration not to render background images. In this configuration, provide an option to alert the user when a background image is available (but has not been rendered). [Priority 1] Content only.

Content type labels : Image .

Note: This checkpoint only requires control of background images for "two-layered renderings", i.e., one rendered background image with all other content rendered "above it". When background images are not rendered, user agents should render a solid background color instead (see checkpoint 4.3). In this configuration, the user agent is not required to retrieve background images from the Web.

3.2 Allow configuration not to render audio, video, or animated images except on explicit request from the user. In this configuration, provide an option to render a placeholder in context for each unrendered source of audio, video, or animated image. When placeholders are rendered, allow the user to view the original author-supplied content associated with each placeholder. [Priority 1] Content only.

Content type labels : Animation , Video , Audio .
Note: This checkpoint requires configuration for content rendered without any user interaction (including content rendered on load or as the result of a script), as well as content rendered as the result of user interaction that is not an explicit request (e.g., when the user activates a link). When configured not to render content except on explicit user request, the user agent is not required to retrieve the audio, video, or animated image from the Web until requested by the user. See also checkpoint 3.8, checkpoint 4.5, checkpoint 4.9, and checkpoint 4.10.

3.3 Allow configuration to render animated or blinking text as motionless, unblinking text. [Priority 1] Content only.

Content type labels : VisualText .
Note: A "stock quote ticker" is an example of animated text. This checkpoint does not apply for blinking and animation effects that are caused by mechanisms that the user agent cannot recognize . This checkpoint requires configuration because blinking effects may be disorienting to some users but useful to others, for example users who are deaf or hard of hearing.

3.4 Allow configuration not to execute any executable content (e.g., scripts and applets). In this configuration, provide an option to alert the user when executable content is available (but has not been executed). [Priority 1] Content only.

Note: Scripts and applets may provide very useful functionality, not all of which causes accessibility problems. Developers should not consider that the user's ability to turn off scripts is an effective way to improve content accessibility; turning off scripts means losing the benefits they offer. Instead, developers should provide users with finer control over user agent or content behavior known to raise accessibility barriers. The user should only have to turn off scripts as a last resort.

3.5 Allow configuration so that client-side content refreshes (i.e., those initiated by the user agent, not the server) do not change content except on explicit user request . Allow the user to request the new content on demand (e.g., by following a link or confirming a prompt). Alert the user, according to the schedule specified by the author, whenever fresh content is available (to be obtained on explicit user request). [Priority 1] Content only.

3.6 Allow configuration so that a "client-side redirect" (i.e., one initiated by the user agent, not the server) does not change content except on explicit user request . Allow the user to access the new content on demand (e.g., by following a link or confirming a prompt). The user agent is not required to provide these functionalities for client-side redirects that occur instantaneously (i.e., when there is no delay before the new content is retrieved). [Priority 2] Content only.

3.7 Allow configuration not to render images. In this configuration, provide an option to render a placeholder in context for each unrendered image. When placeholders are rendered, allow the user to view the original author-supplied content associated with each placeholder. [Priority 2] Content only.

Content type labels : Image .

Note: See also checkpoint 3.8.

3.8 Once the user has viewed the original author-supplied content associated with a placeholder , allow the user to turn off the rendering of the author-supplied content. [Priority 3] Content only.

Content type labels : Animation , Video , Image , Audio .

Note: For example, if the user agent substitutes the author-supplied content for the placeholder in context, allow the user to "toggle" between placeholder and the associated content. Or, if the user agent renders the author-supplied content in a separate viewport, allow the user to close that viewport. See checkpoint 3.2 and checkpoint 3.7.

Guideline 4. Ensure user control of rendering.

Ensure that the user can select preferred styles (colors, size of rendered text, synthesized speech characteristics, etc.) from choices offered by the user agent. Allow the user to override author-specified styles and user agent default styles.

Providing access to content (see guideline 2) includes enabling users to configure and control its rendering. Users with low vision may require that text be rendered at a size larger than the size specified by the author or by the user agent's default rendering. Users with color blindness may need to impose or prevent certain color combinations.

For dynamic presentations such as synchronized multimedia presentations created with SMIL 1.0 *[SMIL]* , users with cognitive, hearing, visual, and physical disabilities may not be able to interact with a presentation within the time frame assumed by the author. To make the presentation accessible to these users, user agents rendering multimedia content (audio, video, and other animations), have to allow the user to control the playback rate of this content, and also to stop, start, pause, reverse, and advance it manually. User agents rendering audio have to allow the user to control the audio volume globally and to allow the user to control independently distinguishable audio tracks.

User agents with speech synthesis capabilities need to allow users to control various speech output parameters. For instance, users who are blind and hard of hearing may not be able to make use of high or low frequencies; these users have to be able to configure their speech synthesizers to use suitable frequencies.

Note: The checkpoints in this guideline apply to all content, including conditional content when it is rendered.

Checkpoints for visually rendered text

4.1 Allow global configuration and control over the reference size of rendered text, with an option to override reference sizes specified by the author or user agent defaults. Allow the user to choose from among the full range of font sizes supported by the operating environment . [Priority 1] Content only.

Content type labels : VisualText .

Note: The reference size of rendered text corresponds to the default value of the CSS2 'font-size' property, which is 'medium' (refer to CSS2 *[CSS2]* , section 15.2.4). For example, in HTML, this might be paragraph text. The default reference size of rendered text may vary among user agents. User agents may offer different mechanisms to allow control of the size of rendered text (e.g., font size control, zoom, magnification, etc.). Refer, for example to the Scalable Vector Graphics specification *[SVG]* for information about scalable rendering.

4.2 Allow global configuration of the font family of all rendered text, with an option to override font families specified by the author or by user agent defaults. Allow the user to choose from among the full range of font families supported by the operating environment . [Priority 1] Content only.

Content type labels : VisualText .

Note: For example, allow the user to specify that all text is to be rendered in a particular sans-serif font family. For text that cannot be rendered properly using the user's preferred font family, the user agent may substitute an alternative font family.

4.3 Allow global configuration of the foreground and background color of all rendered text, with an option to override foreground and background colors specified by the author or user agent defaults. Allow the user to choose from among the full range of colors supported by the operating environment . [Priority 1] Content only.

Content type labels : ColorText .

Note: User configuration of foreground and background colors may inadvertently lead to the inability to distinguish ordinary text from selected text, focused text, etc. See checkpoint 10.3 for more information about highlight styles.

Checkpoints for multimedia presentations and other presentations that change continuously over time

4.4 Allow the user to slow the presentation rate of audio and animations (including video and animated images). For a visual track , provide at least one setting between 40% and 60% of the original speed. For a prerecorded audio track including audio-only presentations , provide at least one setting between 75% and 80% of the original speed. When the user agent allows the user to slow the visual track of a synchronized multimedia presentation to between 100% and 80% of its original speed, synchronize the visual and audio tracks. Below 80%, the user agent is not required to render the audio track . The user agent is not required to satisfy this checkpoint for audio and animations whose recognized role is to create a purely stylistic effect. [Priority 1] Content only.

Content type labels : Animation , Audio .

Note: Purely stylistic effects include background sounds, decorative animated images, and effects caused by style sheets. The style exception of this checkpoint is based on the assumption that authors have satisfied the requirements of the "Web Content Accessibility Guidelines 1.0" *[WCAG10]* not to convey information through style alone (e.g., through color alone or style sheets alone). See checkpoint 2.6 and checkpoint 4.7.

4.5 Allow the user to stop, pause, resume, fast advance, and fast reverse audio and animations (including video and animated images) that last three or more seconds at their default playback rate. The user agent is not required to satisfy this checkpoint for audio and animations whose recognized role is to create a purely stylistic effect. The user agent is not required to play synchronized audio during fast advance or reverse of animations (though doing so may help orient the user). [Priority 1] Content only.

Content type labels : Animation , Audio .

Note: See checkpoint 4.4 for more information about the exception for purely stylistic effects. This checkpoint applies to content that is either rendered automatically or on request from the user. The requirement of this checkpoint is for control of each source of audio and animation that is recognized as distinct. Respect synchronization cues per checkpoint 2.6.

4.6 For graphical viewports, allow the user to position text transcripts , collated text transcripts , and captions in the viewport. Allow the user to choose from among at least the range of positions available to the author (e.g., the range of positions allowed by the markup or style language). [Priority 1] Content only.

4.7 Allow the user to slow the presentation rate of audio and animations (including video and animated images) not covered by checkpoint 4.4. The same speed percentage requirements of checkpoint 4.4 apply. [Priority 2] Content only.

Content type labels : Animation , Audio .

Note: User agents automatically satisfy this checkpoint if they satisfy checkpoint 4.4 for all audio and animations.

4.8 Allow the user to stop, pause, resume, fast advance, and fast reverse audio and animations (including video and animated images) not covered by checkpoint 4.5. [Priority 2] Content only.

Content type labels : Animation , Audio .

Note: User agents automatically satisfy this checkpoint if they satisfy checkpoint 4.5 for all audio and animations.

Checkpoints for audio volume control

4.9 Allow global configuration and control of the volume of all audio, with an option to override audio volumes specified by the author or user agent defaults. The user must be able to choose zero volume (i.e., silent). [Priority 1] Content only.

Content type labels : Audio .

Note: User agents should allow configuration and control of volume through available operating environment controls.

4.10 Allow independent control of the volumes of distinct audio sources synchronized to play simultaneously. [Priority 1] Content only.

Content type labels : Audio .

Note: Sounds that play at different times are distinguishable and therefore independent control of their volumes is not required by this checkpoint (since volume control required by checkpoint 4.9 suffices). The user agent should satisfy this checkpoint by allowing the user to control independently the volumes of all distinct audio sources. The user control required by this checkpoint includes the ability to override author-specified volumes for the relevant sources of audio. See also checkpoint 4.12.

Checkpoints for synthesized speech

4.11 Allow configuration and control of the synthesized speech rate, according to the full range offered by the speech synthesizer. [Priority 1] Content only.

Content type labels : Speech .

Note: The range of speech rates offered by the speech synthesizer may depend on natural language.

4.12 Allow control of the synthesized speech volume, independent of other sources of audio. [Priority 1] Content only.

Content type labels : Speech .

Note: The user control required by this checkpoint includes the ability to override author-specified speech volume. See also checkpoint 4.10.

4.13 Allow configuration of speech characteristics according to the full range of values offered by the speech synthesizer. [Priority 1] Content only.

Note: Some speech synthesizers allow users to choose values for speech characteristics at a higher abstraction layer, i.e., by choosing from present options that group several characteristics. Some typical options one might encounter include: "adult male voice", "female child voice", "robot voice", "pitch", "stress", etc. Ranges for values may vary among speech synthesizers.

4.14 Allow configuration of the following speech characteristics: pitch, pitch range, stress, richness. Pitch refers to the average frequency of the speaking voice. Pitch range specifies a variation in average frequency. Stress refers to the height of "local peaks" in the intonation contour of the voice. Richness refers to the richness or brightness of the voice. [Priority 2] Content only.

Note: This checkpoint is more specific than checkpoint 4.13: it requires support for the voice characteristics listed. Definitions for these characteristics are taken from section 19 of the Cascading Style Sheets Level 2 Recommendation *[CSS2]* ; please refer to that specification for additional informative descriptions. Some speech synthesizers allow users to choose values for speech characteristics at a higher abstraction layer, i.e., by choosing from present options distinguished by "gender", "age", "accent", etc. Ranges of values may vary among speech synthesizers.
Content type labels : Speech .

4.15 Provide support for user-defined extensions to the speech dictionary, as well as the following functionalities: spell-out (spell text one character at a time or according to language-dependent pronunciation rules), speak-numeral (speak a numeral as individual digits or as a full number), and speak-punctuation (speak punctuation literally or render as natural pauses). [Priority 2] Content only.

Note: Definitions for the functionalities listed are taken from section 19 of the Cascading Style Sheets Level 2 Recommendation *[CSS2]* ; please refer to that specification for additional informative descriptions.

Checkpoints related to style sheets

4.16 For user agents that support style sheets, allow the user to choose from (and apply) available author and user style sheets or to ignore them. [Priority 1] Both content and user agent.

Note: By definition, the user agent's default style sheet is always present, but may be overridden by author or user styles. Developers should not consider that the user's ability to turn off author and user style sheets is an effective way to improve content accessibility; turning off style sheet support means losing the many benefits they offer. Instead, developers should provide users with finer

control over user agent or content behavior known to raise accessibility barriers. The user should only have to turn off author and user style sheets as a last resort.

Guideline 5. Ensure user control of user interface behavior.

Ensure that the user can control the behavior of viewports and other user interface controls, including those that may be manipulated by the author (e.g., through scripts).

Control of viewport behavior is important to accessibility. For people with visual disabilities or certain types of learning disabilities, it is important that the point of regard – what the user is presumed to be viewing – remain as stable as possible. Unexpected changes may cause users to lose track of how many viewports are open, which viewport has the current focus , etc. This guideline includes requirements for control of opening and closing viewports, the relative position of graphical viewports, changes to focus, and inadvertent form submissions and micropayments.

Checkpoints

5.1 Allow configuration so that the current focus does not move automatically to viewports that open without explicit user request . Configuration is not required if the current focus can only ever be moved by explicit user request. [Priority 2] Both content and user agent.

Note: For example, allow configuration so that neither the current focus nor the pointing device jump automatically to a viewport that opens without explicit user request.

5.2 For graphical user interfaces, allow configuration so that the viewport with the current focus remains "on top" of all other viewports with which it overlaps. [Priority 2] Both content and user agent.

5.3 Allow configuration so that viewports only open on explicit user request . In this configuration, instead of opening a viewport automatically, alert the user and allow the user to open it on demand (e.g., by following a link or confirming a prompt). Allow the user to close viewports. If a viewport (e.g., a frame set) contains other viewports, these requirements only apply to the outermost container viewport. [Priority 2] Both content and user agent.

Note: User creation of a new viewport (e.g., empty or with a new resource loaded) through the user agent's user interface constitutes an explicit user request. See also checkpoint 5.1 (for control over changes of focus when a viewport opens) and checkpoint 6.5 (for programmatic alert of changes to the

user interface).

5.4 Allow configuration to prompt the user to confirm (or cancel) any form submission that is not caused by an explicit user request to activate a form submit control. [Priority 2] Content only.

Note: For example, do not submit a form automatically when a menu option is selected, when all fields of a form have been filled out, or when a "mouseover" or "change" event event occurs. The user agent may satisfy this checkpoint by prompting the user to confirm *all* form submissions.

5.5 Allow configuration to prompt the user to confirm (or cancel) any payment that results from activation of a fee link . [Priority 2] Content only.

5.6 Allow configuration to prompt the user to confirm (or cancel) closing any viewport that starts to close without explicit user request . [Priority 3] Both content and user agent.

Guideline 6. Implement standard application programming interfaces.

Implement standard interfaces to communicate with other software (e.g., assistive technologies, the operating environment, plug-ins, etc.).

Part of user agent accessibility involves communication with other software in the user's operating environment. Using interoperable application programming interfaces (APIs) increases predictability for users and for developers of assistive technologies . This guideline includes checkpoints for:

- exchanging information about content and user agent user interface controls, selection, and focus with other software, especially with assistive technologies .
- notifying other software of changes to content, user agent user interface controls, selection, and focus.
- using standard communication channels for this exchange.
- ensuring the exchange takes place in a timely manner. Otherwise, assistive technology rendering or response may lag behind that of the "source" user agent, which can disorient the user. Timely exchange is necessary for proper synchronization of alternative renderings.

Checkpoints

6.1 Provide programmatic read access to HTML and XML content by conforming to the following modules of the W3C Document Object Model DOM Level 2 Core Specification *[DOM2CORE]* and exporting the interfaces they define: (1) the Core module for HTML; (2) the Core and XML modules for XML. [Priority 1] Content only.

> **Note:** Please refer to the "Document Object Model (DOM) Level 2 Core Specification" *[DOM2CORE]* for information about HTML and XML versions covered.

6.2 If the user can modify HTML and XML content through the user interface , provide the same functionality programmatically by conforming to the following modules of the W3C Document Object Model DOM Level 2 Core Specification *[DOM2CORE]* and exporting the interfaces they define: (1) the Core module for HTML; (2) the Core and XML modules for XML. [Priority 1] Content only.

> **Note:** For example, if the user interface allows users to complete HTML forms, this must also be possible through the required DOM APIs . Please refer to the "Document Object Model (DOM) Level 2 Core Specification" *[DOM2CORE]* for information about HTML and XML versions covered.

6.3 For markup languages other than HTML and XML, provide programmatic access to content using standard APIs (e.g., platform-independent APIs and standard APIs for the operating environment). If standard APIs do not exist, provide programmatic access through publicly documented APIs . [Priority 1] Content only.

> **Note:** This checkpoint addresses content not covered by checkpoints checkpoint 6.1 and checkpoint 6.2.

6.4 Provide programmatic read and write access to user agent user interface controls using standard APIs . If standard APIs do not exist, provide programmatic access through publicly documented APIs . [Priority 1] User agent only.

> **Note:** Per checkpoint 6.6, provide programmatic access through standard APIs (e.g., platform-independent APIs such as the W3C DOM; standard APIs defined for a specific operating system; and conventions for programming languages, plug-ins, virtual machine environments, etc.). This checkpoint requires user agents to provide programmatic access even in the absence of a standard API for doing so.

6.5 Using standard APIs , provide programmatic alert of changes to content , user interface controls, selection , content focus , and user interface focus . If standard APIs do not exist, provide programmatic alert through publicly documented APIs . [Priority 1] Both content and user agent.

> **Note:** For instance, when user interaction in one frame causes automatic changes to content in another, provide programmatic alert through standard APIs. Use the standard APIs required by the checkpoints of guideline 6.

6.6 Implement standard accessibility APIs (e.g., of the operating environment). Where these APIs do not enable the user agent to satisfy the requirements of this document, use the standard input and output APIs of the operating environment. [Priority 1] Both content and user agent.

Note: Accessibility APIs enable assistive technologies to monitor input and output events. As part of satisfying this checkpoint, the user agent needs to ensure that text content is available as text through these APIs (and not, for example, as a series of strokes drawn on the screen).

6.7 Implement the operating environment's standard APIs for the keyboard . If standard APIs for the keyboard do not exist, implement publicly documented APIs for the keyboard. [Priority 1] User agent only.

Note: An operating environment may define more than one standard API for the keyboard. For instance, for Japanese and Chinese, input may be processed in two stages, with an API for each.

6.8 For an API implemented to satisfy requirements of this document, support the character encodings required for that API. [Priority 1] Both content and user agent.

Note: Support for character encodings is important so that text is not "broken" when communicated to assistive technologies. For example, the DOM Level 2 Core Specification *[DOM2CORE]* , section 1.1.5 requires that the `DOMString` type be encoded using UTF-16. This checkpoint is an important special case of the other API requirements of this document.

6.9 For user agents that implement Cascading Style Sheets (CSS), provide programmatic access to those style sheets by conforming to the CSS module of the W3C Document Object Model (DOM) Level 2 Style Specification *[DOM2STYLE]* and exporting the interfaces it defines. [Priority 2] Content only.

Note: As of the publication of this document, Cascading Style Sheets (CSS) are defined by CSS Level 1 *[CSS1]* and CSS Level 2 *[CSS2]* . Please refer to the "Document Object Model (DOM) Level 2 Style Specification" *[DOM2STYLE]* for information about CSS versions covered.

6.10 Ensure that programmatic exchanges proceed in a timely manner. [Priority 2] Both content and user agent.

Note: For example, the programmatic exchange of information required by other checkpoints in this document should be efficient enough to prevent information loss, a risk when changes to content or user interface occur more quickly than the communication of those changes. The techniques for this checkpoint explain how developers can reduce communication delays. This will help ensure that assistive technologies have timely access to the document object model and other information that is important for providing access.

Guideline 7. Observe operating environment conventions.

Observe operating environment conventions for the user agent user interface, documentation, installation, etc.

Part of user agent accessibility involves following the conventions of the user's operating environment. This includes:

- following operating environment conventions for user agent user interface design, documentation , and installation.
- incorporating operating environment-level user preferences into the user agent. For instance, some operating systems include settings that allow users to request high-contrast colors (for users with low vision) or graphical rendering of audio cues (for users with hearing disabilities).

Following operating environment conventions increases predictability for users and for developers of assistive technologies . Platform guidelines explain what users will expect from the look and feel of the user interface, keyboard conventions, documentation, etc. Platform guidelines also include information about accessibility features that the user agent should adopt rather than reimplement.

Checkpoints

7.1 Follow operating environment conventions that benefit accessibility when implementing the selection , content focus , and user interface focus . [Priority 1] User agent only.

Note: This checkpoint is an important special case of checkpoint 7.3. See also checkpoint 9.1.

7.2 Ensure that default input configurations do not interfere with operating environment accessibility conventions. [Priority 1] User agent only.

Note: In particular, default configurations should not interfere with operating conventions for keyboard accessibility. Information about operating environment accessibility conventions is available in the Techniques document *[UAAG10-TECHS]* . See also checkpoint 11.5.

7.3 Follow operating environment conventions that benefit accessibility. In particular, follow conventions that benefit accessibility for user interface design, keyboard configuration, product installation, and documentation . [Priority 2] User agent only.

Note: Operating environment conventions that benefit accessibility are those described in this document and in platform-specific accessibility guidelines. Some of these conventions (e.g., sticky keys, mouse keys, show sounds, etc.) are discussed in the Techniques document *[UAAG10-TECHS]* .

7.4 Follow operating environment conventions to indicate the input configuration . [Priority 2] User agent only.

Note: For example, in some operating environments, developers may specify which command sequence will activate a functionality so that the standard user interface components display that binding. For example, if a functionality is available from a menu, the letter of the activating key may be underlined in the menu. This checkpoint is an important special case of checkpoint 7.3. See also checkpoint 11.5.

Guideline 8. Implement specifications that benefit accessibility.

Support the accessibility features of all implemented specifications. Implement W3C Recommendations when available and appropriate for a task.

Developers should implement open specifications. Conformance to open specifications benefits interoperability and accessibility by making it easier to design assistive technologies (also discussed in guideline 6).

While developers should implement the accessibility features of any specification, this document recommends conformance to W3C specifications in particular for several reasons:

- W3C specifications include "built-in" accessibility features.
- W3C specifications undergo early review to ensure that accessibility issues are considered during the design phase. This review includes review from stakeholders in accessibility.
- W3C specifications are developed in a consensus process (refer to the process defined by the W3C Process Document *[W3CPROCESS]*). W3C encourages the public to review and comment on these specifications (public Working Drafts, Candidate Recommendations, and Proposed Recommendations). For information about how specifications become W3C Recommendations, refer to the W3C Recommendation track (*[W3CPROCESS]* , section 6.2). W3C Recommendations (and other technical reports) are published at the W3C Web site.

Checkpoints

8.1 Implement the accessibility features of all implemented specifications (markup languages, style sheet languages, metadata languages, graphics formats, etc.). The accessibility features of a specification are those identified as such and those that satisfy *all* of the requirements of the "Web Content Accessibility Guidelines 1.0" *[WCAG10]* . [Priority 1] Content only.

Note: This checkpoint applies to both W3C-developed and non-W3C specifications. The Techniques document *[UAAG10-TECHS]* provides information about the accessibility features of some specifications, including W3C specifications.

8.2 Use and conform to either (1) W3C Recommendations when they are available and appropriate for a task, or (2) non-W3C specifications that enable the creation of content that conforms to the Web Content Accessibility Guidelines 1.0 *[WCAG10]* at any conformance level. [Priority 2] Content only.

Note: For instance, for markup, the user agent may conform to HTML 4 *[HTML4]* , XHTML 1.0 *[XHTML10]* , or XML 1.0 *[XML]* . For style sheets, the user agent may conform to CSS (*[CSS1]* , *[CSS2]*). For mathematics, the user agent may conform to MathML 2.0 *[MATHML20]* . For synchronized multimedia, the user agent may conform to SMIL 1.0 *[SMIL]* . A specification is considered "available" if it is published (e.g., as a W3C Recommendation) in time for integration into a user agent's development cycle.

Guideline 9. Provide navigation mechanisms.

Provide access to content through a variety of navigation mechanisms: sequential navigation, direct navigation, searches, structured navigation, etc.

Users should be able to navigate to important pieces of content within a configurable view, identify the type of object they have navigated to, interact with that object easily (if it is an enabled element), and review the surrounding context (to orient themselves). Providing a variety of navigation and search mechanisms helps users with disabilities (and all users) access content more efficiently. Navigation and searching are particularly important to users who access content serially (e.g., as synthesized speech or braille).

Sequential navigation (e.g., line scrolling, page scrolling, sequential navigation through enabled elements, etc.) means advancing (or rewinding) through rendered content in well-defined steps (line by line, screen by screen, link by link, etc.). Sequential navigation can provide context, but can be time-consuming. Sequential navigation is important to users who cannot scan a page visually for context and also benefits users unfamiliar with a page. Sequential access may be based on element type (e.g., links only), content structure (e.g., navigation from heading to heading), or other criteria.

Direct navigation (e.g., to a particular link or paragraph) is faster than sequential navigation, but generally requires familiarity with the content. Direct navigation is important to users with some physical disabilities (who may have little or no manual dexterity and/or increased tendency to push unwanted buttons or keys), to users with visual disabilities, and also benefits "power users." Direct navigation may be possible with the pointing device or the keyboard (e.g., keyboard shortcuts).

Structured navigation mechanisms offer both context and speed. User agents should allow users to navigate to content known to be structurally important: blocks of content, headers and sections, tables, forms and form elements, enabled elements, navigation mechanisms, containers, etc. For information about programmatic access to document structure, see guideline 6.

User agents should allow users to configure navigation mechanisms (e.g., to allow navigation of links only, or links and headings, or tables and forms, etc.).

Checkpoints

9.1 Allow the user to make the selection and focus of each viewport (including frames) the current selection and current focus , respectively. [Priority 1] User agent only.

Note: For example, when all frames of a frameset are displayed side-by-side, allow the user (via the keyboard) to move the focus among them.

9.2 Allow the user to move the content focus to any enabled element in the viewport . If the author has not specified a navigation order, allow at least forward sequential navigation to each element, in document order. The user agent may also include disabled elements in the navigation order. [Priority 1] Content only.

Note: In addition to forward sequential navigation, the user agent should also allow reverse sequential navigation. This checkpoint is an important special case of checkpoint 9.8.

9.3 For each state in a viewport's browsing history, maintain information about the point of regard , content focus , user interface focus , and selection . When the user returns to any state in the viewport history, restore the saved values for all four of these state variables. [Priority 1] User agent only.

Note: For example, when the user uses the "back" functionality, restore the four state variables.

9.4 For the element with content focus , make available the list of input device event handlers explicitly associated with the element. [Priority 2] Content only.

Note: For example, allow the user to query the element with content focus for the list of input device event handlers, or add them directly to the serial navigation order. See checkpoint 1.2 for information about activation of event handlers associated with the element with focus.

9.5 Allow configuration so that moving the content focus to an enabled element does not automatically activate any explicitly associated input device event handlers . [Priority 2] Content only.

Note: In this configuration, user agents should still apply any stylistic changes

(e.g., highlighting) that may occur when there is a change in content focus .

9.6 Allow the user to move the content focus to any enabled element in the viewport . If the author has not specified a navigation order, allow at least forward and reverse sequential navigation to each element, in document order. The user agent must not include disabled elements in the navigation order. [Priority 2] Content only.

Note: This checkpoint is a special case of checkpoint 9.2.

9.7 Allow the user to search within rendered text content for a sequence of characters from the document character set . Allow the user to start a forward search (in document order) from any selected or focused location in content. When there is a match (1) move the viewport so that the matched text content is within it, and (2) allow the user to search for the next instance of the text from the location of the match. Alert the user when there is no match, when the search reaches the end of content, and prior to any wrapping. Provide a case-insensitive search option for text in scripts (i.e., writing systems) where case is significant. [Priority 2] Content only.

Note: If the user has not indicated a start position for the search, the search should start from the beginning of content. Use operating environments conventions for indicating the result of a search (e.g., selection or content focus). A wrapping search is one that restarts automatically at the beginning of content once the end of content has been reached.

9.8 Allow the user to navigate efficiently to and among important structural elements. Allow forward and backward sequential navigation to important structural elements. [Priority 2] Content only.

Note: This specification intentionally does not identify which "important elements" must be navigable as this will vary according to markup language. What constitutes "efficient navigation" may depend on a number of factors as well, including the "shape" of content (e.g., serial navigation of long lists is not efficient) and desired granularity (e.g., among tables, then among the cells of a given table). Refer to the Techniques document *[UAAG10-TECHS]* for information about identifying and navigating important elements.

9.9 Allow configuration and control of the set of important elements required by checkpoint 9.8 and checkpoint 10.5. Allow the user to include and exclude element types in the set of elements. [Priority 3] Content only.

Note: For example, allow the user to navigate only paragraphs, or only headings and paragraphs, etc. See also checkpoint 6.4.

Guideline 10. Orient the user.

Provide information that will help the user understand browsing context.

All users require clues to help them understand their "location" when browsing: where they are, how they got there, where they can go, what's nearby, etc. Some mechanisms that provide such clues include:

- information about the current state of the user's interaction with content: where the viewport is in content (shown, for example, through proportional scroll bars), which viewport has the current focus , where the user has selected content, a history mechanism, the title of the current document or frame, etc. These clues need to be available to the user in a device-independent manner;
- information about specific elements, such as the dimensions of a table, the length of an audio clip, the structure of a form, whether following a link will involve a fee, etc.
- information about relationships among elements, such as between table cells and related table headers.
- information about the structure of content. For instance, a navigable outline view can accelerate access to content while preserving context.

Orientation mechanisms such as these are especially important to users who view content serially, (e.g., when rendered as speech or braille). For instance, these users cannot "scan" a graphically displayed table with their eyes for information about a table cell's headers, neighboring cells, etc. User agents need to provide other means for users to understand table cell relationships, frame relationships (what relationship does the graphical layout convey?), form context (have I filled out the form completely?), link information (have I already visited this link?), etc.

This guideline also includes requirements to allow the user to control some user agent behavior (form submission and activation of fee links) that, if carried out automatically, might go unnoticed by some users (e.g., users who are blind) or might disorient others (e.g., users with cognitive disabilities).

Checkpoints

10.1 Make available to the user the purpose of each table and the relationships among the table cells and headers. [Priority 1] Content only.

Note: This checkpoint refers only to table information that the user can recognize . Depending on the table, some techniques may be more efficient than others for conveying data relationships. For many tables, user agents rendering in two dimensions may satisfy this checkpoint by rendering a table as a grid and by ensuring that users can find headers associated with cells. However, for large tables or small viewports, allowing the user to query cells for information about related headers may improve access. This checkpoint is an important special case of checkpoint 2.1.

10.2 Provide a mechanism for highlighting the selection and content focus . Allow the user to configure the highlight styles. The highlight mechanism must not rely on color alone. For graphical viewports, if the highlight mechanism involves colors or text decorations , allow the user to choose from among the full range of colors or text decorations supported by the operating environment . [Priority 1] Content only.

Note: Examples of highlight mechanisms include foreground and background color variations, underlining, distinctive voice pitches, rectangular boxes, etc. Because the selection and focus change frequently, user agents should not highlight them using mechanisms (e.g., font size variations) that cause content to reflow as this may disorient the user. See also checkpoint 7.1.

10.3 Ensure that all of the default highlight styles for the selection , content focus , enabled elements , recently visited links, and fee links (1) do not rely on color alone, and (2) differ from each other, and not by color alone. [Priority 1] Content only.

Note: For instance, by default a graphical user agent may present the selection using color and a dotted outline, the focus using a solid outline, enabled elements as underlined in blue, recently visited links as dotted underlined in purple, and fee links using a special icon or flag to draw the user's attention.

10.4 Provide a mechanism for highlighting all enabled elements , recently visited links, and fee links . Allow the user to configure the highlight styles. The highlight mechanism must not rely on color alone. For graphical viewports, if the highlight mechanism involves colors, fonts, or text decorations , allow the user to choose from among the full range of colors, fonts, or text decorations supported by the operating environment . For an image map, the user agent must highlight the image map as a whole and should allow configuration to highlight each enabled region. [Priority 2] Content only.

Note: Examples of highlight mechanisms include foreground and background color variations, font variations, underlining, distinctive voice pitches, rectangular boxes, etc.

10.5 Make available to the user an "outline" view of content , composed of labels for important structural elements (e.g., heading text, table titles, form titles, etc.). [Priority 2] Content only.

Note: This checkpoint is meant to provide the user with a simplified view of content (e.g, a table of contents). What constitutes a label is defined by each markup language specification. For example, in HTML, a heading (`H1-H6`) is a label for the section that follows it, a `CAPTION` is a label for a table, the "`title`" attribute is a label for its element, etc. A label is not required to be text only. For important elements that do not have associated labels, user agents may generate labels for the outline view. For information about what constitutes the set of important structural elements, please see the Note following checkpoint 9.8. By making the outline view navigable, it is possible to satisfy this checkpoint

and checkpoint 9.8 together: Allow users to navigate among the important elements of the outline view, and to navigate from a position in the outline view to the corresponding position in a full view of content. See also checkpoint 9.9.

10.6 To help the user decide whether to traverse a link, make available the following information about it: link element content, link title, whether the link is internal to the resource (e.g., the link is to a target in the same Web page), whether the user has traversed the link recently, whether traversing it may involve a fee, and information about the type, size, and natural language of linked Web resources. The user agent is not required to compute or make available information that requires retrieval of linked Web resources . [Priority 3] Content only.

Checkpoints for the user interface

10.7 Provide a mechanism for highlighting the viewport with the current focus . For graphical viewports, the default highlight mechanism must not rely on color alone. [Priority 1] User agent only.

Note: This includes highlighting and identifying frames. This checkpoint is an important special case of checkpoint 1.1. See also to checkpoint checkpoint 7.3.

10.8 Ensure that when a viewport's selection or content focus changes, it is in the viewport after the change. [Priority 2] User agent only.

Note: For example, if users navigating links move to a portion of the document outside a graphical viewport, the viewport should scroll to include the new location of the focus. Or, for users of audio viewports, allow configuration to render the selection or focus immediately after the change.

10.9 Indicate the relative position of the viewport in rendered content (e.g., the proportion of an audio or video clip that has been played, the proportion of a Web page that has been viewed, etc.). [Priority 3] User agent only.

Note: The user agent may calculate the relative position according to content focus position, selection position, or viewport position, depending on how the user has been browsing. The user agent may indicate the proportion of content viewed in a number of ways, including as a percentage, as a relative size in bytes, etc. For two-dimensional renderings, relative position includes both vertical and horizontal positions.

Guideline 11. Allow configuration and customization.

Allow users to configure the user agent so that frequently performed tasks are made convenient, and allow users to save their preferences.

Web users have a wide range of capabilities and need to be able to configure the user agent according to their preferences for styles, graphical user interface configuration, keyboard configuration, etc. Most of the checkpoints in this guideline pertain to the input configuration: how user agent behavior is controlled through keyboard input, pointing device input, and voice input.

Checkpoints

11.1 Provide information to the user about current user preferences for input configurations . [Priority 1] User agent only.

> **Note:** To satisfy this checkpoint, the user agent may make available binding information in a centralized fashion (e.g., a list of bindings) or a distributed fashion (e.g., by listing keyboard shortcuts in user interface menus).

11.2 Provide a centralized view of the current author-specified input configuration bindings. [Priority 2] Content only.

> **Note:** For example, for HTML documents, provide a view of keyboard bindings specified by the author through the "`accesskey`" attribute. The intent of this checkpoint is to centralize information about author-specified bindings so that the user does not have to read the entire content first to find out what bindings are available. The user agent may satisfy this checkpoint by providing different views for different input modalities (keyboard, pointing device, voice, etc.).

11.3 Allow the user to override any binding that is part of the user agent default input configuration The user agent is not required to allow the user to override standard bindings for the operating environment (e.g., for access to help). [Priority 2] User agent only.

> **Note:** The override requirement only applies to bindings for the same input modality (e.g., the user must be able to override a keyboard binding with another keyboard binding). See also checkpoint 11.5, checkpoint 11.7, and checkpoint 12.3.

11.4 Allow the user to override any binding in the default keyboard configuration with a binding to either a key plus modifier keys or to a single-key. For each functionality in the set required by checkpoint 11.5, allow the user to configure a single-key binding (i.e., one key press performs the task, with zero modifier keys). If the number of physical keys on the keyboard is less than the number of functionalities required by by checkpoint 11.5, allow single-key bindings for as many of those functionalities as possible. The user agent is not required to allow the user to override standard bindings for the operating environment (e.g., for access to help). [Priority 2] User

agent only.

Note: In this checkpoint, "key" refers to a physical key of the keyboard (rather than, say, a character of the document character set). Because single-key access is so important to some users with physical disabilities, user agents should ensure that (1) most keys of the physical keyboard may be configured for single-key bindings, and (2) most functionalities of the user agent may be configured for single-key bindings. This checkpoint does not require single physical key bindings for character input, only for the activation of user agent functionalities. For information about access to user agent functionality through a keyboard API, see checkpoint 6.7.

11.5 Ensure that the default input configuration includes bindings for the following functionalities required by other checkpoints in this document: move focus to next enabled element ; move focus to previous enabled element; activate focused link; search for text; search again for same text; increase size of rendered text; decrease size of rendered text; increase global volume; decrease global volume; (each of) stop, pause, resume, fast advance, and fast reverse selected audio and animations (including video and animated images). If the user agent supports the following functionalities, the default input configuration must also include bindings for them: next history state (forward); previous history state (back); enter URI for new resource; add to favorites (i.e., bookmarked resources); view favorites; stop loading resource; reload resource; refresh rendering; forward one viewport; back one viewport; next line; previous line. [Priority 2] User agent only.

Note: This checkpoint does not make any requirements about the ease of use of default input configurations, though clearly the default configuration should include single-key bindings and allow easy operation. Ease of use is ensured by the configuration requirements of checkpoint 11.3.

11.6 For the configuration requirements of this document, allow the user to save user preferences in at least one user profile . Allow users to choose from among available profiles or no profile (i.e., the user agent default settings). [Priority 2] User agent only.

Note: The configuration requirements of the checkpoints in this document involve user preferences for styles, presentation rates, input configurations , navigation, viewport behavior, and user agent prompts and alerts.

11.7 For graphical user interfaces, allow the user to configure the position of controls on tool bars of the user agent user interface , to add or remove controls for the user interface from a predefined set, and to restore the default user interface. [Priority 3] User agent only.

Note: This checkpoint is a special case of checkpoint 11.3.

Guideline 12. Provide accessible product documentation and help.

Ensure that the user can learn about software features that benefit accessibility from the documentation. Ensure that the documentation is accessible.

User agent documentation is especially important to users with disabilities who may not understand a complex graphical user interface, who may not be using part of it (e.g., audio cues), or may not be using it at all. Without documentation, users with disabilities may have a very difficult time knowing what the user agent is capable of and how to operate it.

Features that support accessibility need to be clearly documented so that users with disabilities can learn to operate the user agent efficiently. Documentation of keyboard accessibility is particularly important to users with visual disabilities and some types of physical disabilities. Without this documentation, a user with a disability (or multiple disabilities) may not think that a particular task can be performed. Or the user may try to use a much less efficient technique to perform a task, such as using a mouse, or using an assistive technology's mouse emulation through key strokes.

Documentation includes anything that explains how to install, get help for, use, or configure the product.

Refer to checkpoint 7.3 for information about following system conventions for documentation.

Checkpoints

12.1 Ensure that at least one version of the product documentation conforms to at least Level Double-A of the Web Content Accessibility Guidelines 1.0 *[WCAG10]* . [Priority 1] User agent only.

12.2 Document all user agent features that benefit accessibility. [Priority 1] User agent only.

> **Note:** For example, review the documentation or help system to ensure that it includes information about the functions and capabilities of the user agent that are required by WAI Accessibility Guidelines, platform-specific accessibility guidelines, etc. The documentation of accessibility features should be integrated into the documentation as a whole.

12.3 Document the default input configuration (e.g., the default keyboard bindings). [Priority 1] User agent only.

Note: If the default input configuration is inconsistent with conventions of the operating environment, the documentation should alert the user.

12.4 In a dedicated section of the documentation , describe all features of the user agent that benefit accessibility. [Priority 2] User agent only.

Note: This is a more specific requirement than checkpoint 12.2.

12.5 In each software release, document all changes that affect accessibility. [Priority 2] User agent only.

Note: Features that affect accessibility are those required by WAI Accessibility Guidelines, platform-specific accessibility guidelines, etc.

3. Conformance

This normative section defines what it means to conform to this document and explains how to make a valid conformance claim . Please note that this document includes both conformance requirements and conformance claim requirements.

Here is a sample claim (expressed in HTML):

```
<p>On 9 April 2001, Project X (version 2.3) running on
MyOperatingSystem (version 4.2) conforms to <abbr title="the
World Wide Web Consortium">W3C</abbr>'s "User Agent
Accessibility Guidelines 1.0",
http://www.w3.org/TR/2001/WD-UAAG10-20010409, level Double-A.
Unsupported content types: Video, Speech. Unsupported input
modalities: Voice. (see section 3.1 of the UAAG 1.0). The <a
href="http://example.com/checkpoints">list of checkpoints that
do not apply</a> is available online.</p>
```

In this document (notably in the checkpoints and in this section on conformance), the terms "must", "should", and "may" (and related terms) are used in accordance with RFC 2119 *[RFC2119]* . This section uses the expression "subject of a claim" to refer to a user agent about which someone wishes to claim some level of conformance to this document. The subject of a claim may be one or more software components (e.g., a browser plus additional software).

Note: Conformance to the requirements of this document is expected to be a strong indicator of accessibility, but it is neither a necessary nor sufficient condition for ensuring the accessibility of software. Some software may not conform to this document but still be accessible to some users with disabilities. Conversely, some software may conform to this document but still be inaccessible to some users with disabilities. Please refer to the section on known limitations of this document .

3.1 Conformance model

The conformance model of this document has been designed to allow different types of user agents with different input and output capabilities to conform. At the same time, the model is designed so that:

- people reading claims can determine whether a conforming user agent is likely to meet their accessibility needs, and
- people can compare claims about different user agents with relative ease. **Note:** The checklist *[UAAG10-CHECKLIST]* may be used when evaluating a user agent for conformance.

The conformance model works as follows:

- A user agent conforms to this document by satisfying a set of requirements. Conformance requirements **only** come from the checkpoint statements. Each checkpoint statement includes one or more requirements.

- The "default" set of requirements for conformance consists of all the requirements of all of the checkpoints. A user agent conforms unconditionally to this document if it satisfies all of these requirements.
- Different user agents may conform to different sets of checkpoints. A user agent conforms conditionally if it satisfies any set of requirements that results from carrying out the four steps below. Since these steps may produce very different sets of checkpoints for different user agents, a valid conformance claim must indicate how the set of requirements chosen for the claim differs from the default set .

A user agent conforms conditionally if it satisfies any set of requirements that results from following these steps:

1. Choose a conformance level . Each conformance level corresponds to a set of checkpoints (and thus a set of requirements).
2. Remove the requirements associated with any unsupported content type labels . In order to conform conditionally, a user agent must satisfy the requirements of at least one content type label.
3. Remove the requirements of any checkpoints or parts of checkpoints that do not apply .
4. Add requirements associated with any supported input modality label . **Note:** In the default set of requirements, the only input device requirements relate to keyboard input.

Example of how to determine conformance requirements

The following example illustrates how to apply the above steps to determine which requirements must be satisfied for conformance, and what would be required as part of a well-formed conformance claim. This informative example does not illustrate a complete user agent evaluation.

Consider a user agent with these capabilities:

- it supports keyboard and pointing device input;
- it renders text (in color) and implements:
  - one audio format,
  - two image formats,
  - two other animation formats (besides video, which is considered an animation format in this document);
- it hands off video to be rendered by a plug-in;
- it doesn’t support speech output.

Step 1: Choose a conformance level.

The claimant wishes to conform at level Double-A. This establishes a set of requirements consisting of all of the requirements of all the priority 1 and 2 checkpoints.

Step 2: Remove the requirements associated with any unsupported content type labels.

The claimant wishes to claim conformance for the user agent's support of text, images, audio, and video. The claimant does not wish to claim conformance for other animation formats.

The following content type labels are therefore relevant: VisualText, ColorText, Image, Animation, Video, and Audio. This means that:

- the claimant must remove the set of requirements associated with the Speech content type label.
- the claimant must satisfy the requirements associated with the other content type labels.

Step 3: Remove the requirements of any checkpoints or parts of checkpoints that do not apply.

Consider checkpoint 4.4, for example, which is associated with both the Audio and Animation content type labels:

4.4 Allow the user to slow the presentation rate of audio and animations (including video and animated images). For a visual track , provide at least one setting between 40% and 60% of the original speed. For a prerecorded audio track including audio-only presentations , provide at least one setting between 75% and 80% of the original speed. When the user agent allows the user to slow the visual track of a synchronized multimedia presentation to between 100% and 80% of its original speed, synchronize the visual and audio tracks. Below 80%, the user agent is not required to render the audio track . The user agent is not required to satisfy this checkpoint for audio and animations whose recognized role is to create a purely stylistic effect. [Priority 1]

Suppose that:

1. The claimant wishes to claim support for the two image formats, the one audio format, and the one video format;
2. The claimant does not wish to claim support for the other two animation formats (e.g., because the user agent doesn't satisfy the requirements of checkpoint 4.4 for those animation formats);
3. The user agent does not implement any synchronized multimedia formats.

The resulting applicable requirements from this checkpoint would be:

- For the audio format: Allow the user to slow the presentation rate of audio. For a prerecorded audio track including audio-only presentations, provide at least one setting between 75% and 80% of the original speed.
- For the video format: Allow the user to slow the presentation rate of video. For a visual track, provide at least one setting between 40% and 60% of the original speed.

- For the image formats: None, since the Image content type label does not include checkpoint checkpoint 4.4.
- Limitation of scope for any format: The user agent is not required to satisfy the requirements of this checkpoint for audio and animations whose recognized role is to create a purely stylistic effect.

The following requirements would not apply:

- When the user agent allows the user to slow the visual track of a synchronized multimedia presentation to between 100% and 80% of its original speed, synchronize the visual and audio tracks. Below 80%, the user agent is not required to render the audio track. **Note:** The relevant applicability provision is provision three: control of a content property that the subject cannot recognize. In this case, no format implemented by the user agent supports synchronized multimedia.

Step 4: Add requirements associated with any supported input modality label.

In this example, the claimant does not wish to claim conformance for complete operation for pointing device or voice input, so no requirements are added.

Construct a well-formed conformance claim.

The following information is an excerpt of that required for a well-formed claim:

- Conformance level satisfied: Double-A
- Information about the subject. Both the "main" user agent and the plug-in used to support video must be identified in the claim (since the plug-in is the component used to satisfy the requirements for video).

The user agent does not conform unconditionally , therefore, the claim must also include the following information (excerpted from a complete claim):

- A general statement about lack of support for the Speech content type label: "This user agent does not support the requirements of the Speech content type label. "
- A specific statement about content type support for checkpoint 4.4: "This user agent satisfies the requirements of the Animation content type label for the audio format A and the video format V. It does not satisfy the Animation requirements for animation formats Y and Z."
- A specific statement about applicability for checkpoint 4.4: "The synchronized multimedia requirements of checkpoint checkpoint 4.4 do not apply because the user agent does not implement any formats that support synchronized multimedia."

3.2 Conformance levels

Each conformance level defines a set of requirements, based on priority .

- **Conformance Level "A"**: the requirements of all Priority 1 checkpoints.
- **Conformance Level "Double-A"**: the requirements of all Priority 1 and 2 checkpoints.
- **Conformance Level "Triple-A"**: the requirements of all Priority 1, 2, and 3 checkpoints.

Note: Conformance levels are spelled out in text (e.g., "Double-A" rather than "AA") so they may be understood when rendered as speech.

3.3 Content type labels

Each content type label defines a set of requirements related to support for images, video, animations generally, visually displayed text (in color), and synthesized speech.

VisualText
: This content type label refers to all of the requirements related to the visual rendering of text for the following checkpoints: 3.3, 4.1, and 4.2.

ColorText
: This content type label refers to all of the requirements related to text foreground and background color for the following checkpoint: 10.4.

Image
: This content type label refers to all of the requirements related to images (excluding animated images) for the following checkpoints: 3.1, 3.7, and 3.8. To conform, the user agent must implement at least one image format.

Animation
: This content type label refers to all of the requirements related to animations (including video and animated images) for the following checkpoints: 3.2, 3.8, 4.4, 4.5, 4.7, and 4.8. To conform, the user agent must implement at least one animation format.

Video
: This content type label refers to all of the requirements related to video for the following checkpoints: 2.5, 2.6, 3.2, and 3.8. To conform, the user agent must implement at least one video format.

Audio
: This content type label refers to all of the requirements related to audio for the following checkpoints: 2.5, 2.6, 3.2, 3.8, 4.4, 4.5, 4.7, 4.8, 4.9, and 4.10. To conform, the user agent must implement at least one audio format.

Speech
: This content type label refers to all of the requirements related to synthesized speech for the following checkpoints: 4.11, 4.12, 4.13, 4.14, and 4.15. To conform, the user agent must support synthesized speech.

Note: Some of the labels above require implementation of at least one format (e.g., for images). This document does not require implementation of specific formats, (e.g., PNG *[PNG]* versus SVG *[SVG]* for images). However, please see the requirements of checkpoint 8.2.

3.4 Input modality labels

Each input modality label defines a set of requirements related to support for pointing device and voice input. Input device requirements in this document are either stated generically (e.g., "input configuration" requirements) or as keyboard-specific requirements (e.g., "keyboard API").

Pointer
: This input modality label refers to all of the input device requirements of this document, applied to pointing device input. For keyboard-specific requirements, substitute "pointing device input" for "keyboard." The set of pointing device input requirements does not include the requirements of checkpoint 11.4.

Voice
: This input modality label refers to all of the input device requirements of this document, applied to voice input. For keyboard-specific requirements, substitute "voice input" for "keyboard." The set of voice input requirements does not include the requirements of checkpoint 11.4.

Note: Developers are encouraged to design user agents that are at least partially operable through all three input modalities.

3.5 Checkpoint applicability

A checkpoint (or part of a checkpoint) applies unless any one of the following conditions is met:

1. The checkpoint makes requirements for graphical user interfaces or graphical viewports and the subject of the claim only has audio or tactile user interfaces or viewports.
2. The checkpoint refers to a role of content (e.g., transcript, captions, associated conditional content , fee link , synchronization cue, client-side redirect, purpose of a table, etc.) that the subject of the claim cannot recognize because of how the content has been encoded in a particular format. For instance, HTML user agents can recognize "`alt`", `OBJECT` content, or `NOFRAMES` content as specified mechanisms for conditional content . HTML user agents are not expected to recognize that a nearby paragraph is a text equivalent for the image (though not marked up as such).
3. The checkpoint requires control of a content property that the subject cannot recognize because of how the content has been encoded in a particular format. Some examples of this include:
   - captioning information that is "burned" into a video presentation and cannot be recognized as captions in the presentation format;

- streamed content that cannot be fast advanced or reversed,
- information or relationships encoded in scripts in a manner that cannot be recognized. For instance, the requirements of checkpoint 3.3 would not apply for animation effects unrecognized in a script. Some input device behavior may be controlled by scripts in a manner that the user agent cannot recognize. For example, when the author uses event bubbling to dispatch events, the user agent is not likely to recognize the full set of elements that may receive those events; the user agent is expected to recognize which element has the explicitly associated event handler .

3.6 Well-formed conformance claims

A claim is well-formed if meets the following conditions.

Condition 1: The claim must include the following information:

1. The date of the claim.
2. The guidelines title/version: "User Agent Accessibility Guidelines 1.0".
3. The URI of the guidelines: http://www.w3.org/TR/2001/WD-UAAG10-20010409.
4. The conformance level satisfied: "A", "Double-A", or "Triple-A".
5. Information about the subject. The subject of the claim may consist of one or more software components (e.g., a browser plus a multimedia player plus a plug-in). For each component, the claim must include the following:
   - The product name and version information (version number, minor release number, and any required patches or updates). The claim must also include the vendor name if it is required to identify the product.
   - The operating environment (e.g., operating system) name and version number.

Condition 2: The claim must include the following information if the user agent conforms conditionally :

1. Content type labels . Content type labels are used in assertions that the subject either (1) does not satisfy the requirements associated with the label (e.g., for a specific checkpoint, for any checkpoint, etc.), or (2) does satisfy the requirements associated with the label (e.g., for a particular format when satisfying the requirements of a checkpoint). In order to conform conditionally, a user agent must satisfy the requirements of at least one content type label.
2. Input modality labels . Each input modality label ("Pointer" or "Voice") is an assertion that the user agent satisfies the requirements associated with the label.
3. A list of requirements (checkpoints or portions of checkpoints) that the claim asserts do **not** apply . A well-formed claim should include rationale for why a requirement doesn't apply.

Condition 3: If the claim is on the Web, it must conform to the "Web Content Accessibility Guidelines 1.0" *[WCAG10]* , level A.

This specification imposes no restrictions on the format used to make a well-formed claim. For instance, the claim may be marked up using HTML (see sample claim), or expressed in the Resource Description Framework (RDF) *[RDF10]* .

3.7 Validity of a claim

A conformance claim is valid if the following conditions are met:

1. The claim is well-formed .
2. It is verified that the user agent satisfies the default set of requirements , in addition to (or except) those requirements added (or exempted) by the allowable mechanisms: conformance levels , content type labels , input modality labels , and applicability .

It is not currently possible to validate a claim entirely automatically.

Note: The subject of the claim may consist of more than one software component, and taken together they must satisfy all all requirements that are not excluded through the claim. This includes assistive technologies and operating environment features that are part of a claim. Some components may not have to satisfy some requirements as long as the subject *as a whole* satisfies them. For instance, a particular component of the subject may not have to conform to the DOM APIs required by guideline 6 as long as the subject of the claim as a whole makes *all content* available through those APIs.

Note: Ideally, the standard (or, default) user agent installation procedure should provide and install all components that are part of a conformance claim. This is because, the more software components the user must install in order to construct a conforming user agent, the higher the risk of failure. Failure may be due to inaccessible mechanisms for downloading and installing plug-ins, or lack of installation access privileges for a computer in a public space, etc.

Requirements for content, user agent features, or both

Each checkpoint definition indicates whether the requirements of the checkpoint must be satisfied for:

- content only, i.e., the document object , generally provided by the author.
- user agent features only, i.e., everything besides the document object (e.g., components of the user agent user interface , the user agent documentation , focus and selection mechanisms, etc.).
- both content and user agent features.

The user agent must satisfy all requirements involving user interaction (both user input and output to the user) through the user interface of the subject of the claim . This includes not only the requirements that directly refer to to user control, configuration, etc., but also requirements that indirectly involve the user interface (e.g., system conventions pertaining to the user interface).

Use of operating environment features as part of conformance

To satisfy the requirements of this document, developers are encouraged to adopt operating environment conventions and features that benefit accessibility. When an operating environment feature (e.g., the operating system's audio control feature) is adopted to satisfy the requirements of this document, it is part of the subject of the claim .

Developers may provide access through the user agent's user interface to operating environment features adopted to satisfy the requirements of this document. For example, if the user agent adopts the operating system's audio control feature to satisfy checkpoint 4.9, the user agent may (but is not required to) include those controls in its own user interface.

Restricted functionality and conformance

There may be scenarios where a content provider wishes to limit the user's full access to content. For instance, a content provider may wish to limit access to content through an API (e.g., to protect intellectual property rights, or for security reasons), or to provide a "read-only" view (allowing no user interaction). A valid conformance claim remains valid even when the functionality of a conforming user agent is restricted in a particular setting. The validity of a conformance claim will be seriously jeopardized if a user agent does not meet the requirements of this document for general-purpose content.

Note: The User Agent Accessibility Guidelines Working Group recognizes that further work is necessary in the area of digital rights management as it relates to accessibility.

3.8 Responsibility for claims

This specification imposes no restrictions about:

- who may make a claim (e.g., vendors about their own products, third parties about those products, journalists about products, etc.), or
- where claims may be published (e.g., on the Web or in paper product documentation).

Claimants (or relevant assuring parties) are solely responsible for the validity of their claims, keeping claims up to date, and proper use of the conformance icons . As of the publication of this document, W3C does not act as an assuring party, but it may do so in the future, or it may establish recommendations for assuring parties.

Claimants are expected to modify or retract a claim if it may be demonstrated that the claim is not valid. Claimants are encouraged to claim conformance to the most recent User Agent Accessibility Guidelines Recommendation available.

3.9 Conformance icons

As part of a conformance claim, people may use a conformance icon (or, "conformance logo") on a Web site, on product packaging, in documentation, etc. Each conformance icon (chosen according to the appropriate conformance level) used on the Web must link to the W3C explanation of the icon. The appearance of a conformance icon does not imply that W3C has reviewed or validated the claim. An icon must be accompanied by a well-formed claim .

Draft Note: *In the event this document becomes a W3C Recommendation this document will link to the W3C Web site for additional information about the icons and how to use them.*

4. Glossary

Note: In this document, glossary terms generally link to the corresponding entries in this section. These terms are also highlighted through style sheets and identified as glossary terms through markup.

Activate

In this document, the verb "to activate" means (depending on context) either:

- To execute or carry out one or more behaviors associated with an enabled element .
- To execute or carry out one or more behaviors associated with a component of the user agent user interface .

The effect of activation depends on the type of enabled element or user interface control. For instance, when a link is activated, the user agent generally retrieves the linked Web resource . When a form control is activated, it may change state (e.g., check boxes) or may take user input (e.g., a text entry field).

Alert

In this document, "to alert" means to make the user aware of some event, without requiring acknowledgement. For example, the user agent may alert the user that new content is available on the server by displaying a text message in the user agent's status bar. See checkpoint 1.3 for requirements about alerts.

Animation

In this document, the term "animation" refers to any visual movement effect created automatically (i.e., without manual user interaction). This definition of animation includes video and animated images. Animation techniques include:

- graphically displaying a sequence of snapshots within the same region (e.g., as is done for video and animated images). The series of snapshots may be provided by a single resource (e.g., an animated GIF image) or from distinct resources (e.g., a series of images downloaded continuously by the user agent).
- scrolling text (e.g., achieved through markup or style sheets).
- displacing graphical objects around the viewport (e.g., a picture of a ball that is moved around the viewport giving the impression that it is bouncing off of the viewport edges). For instance, the SMIL 2.0 *[SMIL20]* animation modules explain how to create such animation effects in a declarative manner (i.e., not by composition of successive snapshots).

Application Programming Interface (API), ***standard input/output/device API***

An application programming interface (API) defines how communication may take place between applications.

As part of encouraging interoperability, this document recommends using standard APIs where possible, although this document does not define in all cases how those APIs are standardized (i.e., whether they are defined by specifications such as W3C Recommendations, defined by an operating environment vendor, de facto standards, etc.). Implementing APIs that are independent of a particular operating environment (as are the W3C DOM Level

2 specifications) may reduce implementation costs for multi-platform user agents and promote the development of multi-platform assistive technologies. Implementing standard APIs defined for a particular operating environment may reduce implementation costs for assistive technology developers who wish to interoperate with more than one piece of software running on that operating environment.

A "device API" defines how communication may take place with an input or output device such as a keyboard, mouse, video card, etc. A "standard device API" is one that is considered standard for that particular device on a given operating or windowing system.

In this document, an "input/output API" defines how applications or devices communicate with a user agent. As used in this document, input and output APIs include, but are not limited to, device APIs. Input and output APIs also include more abstract communication interfaces than those specified by device APIs. A "standard input/output API" is one that is expected to be implemented by software running on a particular operating environment. Standard input/output APIs may vary from system to system. For example, on desktop computers today, the standard input APIs are for the mouse and keyboard. For touch screen devices or mobile devices, standard input APIs may include stylus, buttons, voice, etc. The graphical display and sound card are considered standard ouput devices for a graphical desktop computer environment, and each has a standard API.

Assistive technology

In the context of this document, an assistive technology is a user agent that:

1. relies on services (such as retrieving Web resources , parsing markup, etc.) provided by one or more other "host" user agents. Assistive technologies communicate data and messages with host user agents by using and monitoring APIs .
2. provides services beyond those offered by the host user agents to meet the requirements of a users with disabilities. Additional services include alternative renderings (e.g., as synthesized speech or magnified content), alternative input methods (e.g., voice), additional navigation or orientation mechanisms, content transformations (e.g., to make tables more accessible), etc.

For example, screen reader software is an assistive technology because it relies on browsers or other software to enable Web access, particularly for people with visual and learning disabilities.

Examples of assistive technologies that are important in the context of this document include the following:

- screen magnifiers, which are used by people with visual disabilities to enlarge and change colors on the screen to improve the visual readability of rendered text and images.
- screen readers, which are used by people who are blind or have reading disabilities to read textual information through synthesized speech or braille

displays.

- speech recognition software, which may be used by people who have some physical disabilities.
- alternative keyboards, which are used by people with certain physical disabilities to simulate the keyboard.
- alternative pointing devices, which are used by people with certain physical disabilities to simulate mouse pointing and button activations.

Beyond this document, assistive technologies consist of software or hardware that has been specifically designed to assist people with disabilities in carrying out daily activities, e.g., wheelchairs, reading machines, devices for grasping, text telephones, vibrating pagers, etc. For example, the following very general definition of "assistive technology device" comes from the (U.S.) Assistive Technology Act of 1998 *[AT1998]* :

> Any item, piece of equipment, or product system, whether acquired commercially, modified, or customized, that is used to increase, maintain, or improve functional capabilities of individuals with disabilities.

Attribute

This document uses the term "attribute" in the XML sense: an element may have a set of attribute specifications (refer to the XML 1.0 specification *[XML]* section 3).

Audio-only presentation

An audio-only presentation is content consisting exclusively of one or more audio tracks presented concurrently or in series. Examples of an audio-only presentation include a musical performance, a radio-style news broadcast, and a book reading.

Audio track

An audio object is content rendered as sound through an audio viewport . An audio track is an audio object that is intended as a whole or partial presentation. An audio track may, but is not required to, correspond to a single audio channel (left or right audio channel).

Auditory description

An auditory description (sometimes, "audio description") is either a prerecorded human voice or a synthesized voice (recorded or generated dynamically) describing the key visual elements of a movie or other animation. The auditory description is synchronized with the audio track of the presentation, usually during natural pauses in the audio track . Auditory descriptions include information about actions, body language, graphics, and scene changes.

Author styles

Authors styles are style property values that come from a document, or from its associated style sheets, or that are generated by the server.

Captions

Captions (sometimes, "closed captions") are text transcripts that are synchronized with other audio tracks or visual tracks . Captions convey information about spoken words and non-spoken sounds such as sound effects. They benefit people who are deaf or hard-of-hearing, and anyone who cannot

hear the audio (e.g., someone in a noisy environment). Captions are generally rendered graphically above, below, or superimposed over video. **Note:** Other terms that include the word "caption" may have different meanings in this document. For instance, a "table caption" is a title for the table, often positioned graphically above or below the table. In this document, the intended meaning of "caption" will be clear from context.

Character encoding

A "character encoding" is a mapping from a character set definition to the actual code units used to represent the data. Please refer to the Unicode specification *[UNICODE]* for more information about character encodings. Refer to "Character Model for the World Wide Web" *[CHARMOD]* for additional information about characters and character encodings.

Collated text transcript

A collated text transcript is a text equivalent of a movie or other animation. More specifically, it is the combination of the text transcript of the audio track and the text equivalent of the visual track . For example, a collated text transcript typically includes segments of spoken dialogue interspersed with text descriptions of the key visual elements of a presentation (actions, body language, graphics, and scene changes). See also the definitions of text transcript and auditory description . Collated text transcripts are essential for individuals who are deaf-blind.

Conditional content

Conditional content is content that, by specification, should be made available to users through the user interface, generally under certain conditions (e.g., user preferences or operating environment limitations). Some examples of conditional content mechanisms include:

- The "`alt`" attribute of the `IMG` element in HTML 4. According to section 13.2 of the HTML 4 specification (*[HTML4]*): "User agents must render alternate text when they cannot support images, they cannot support a certain image type or when they are configured not to display images.
- `OBJECT` elements in HTML 4. Section 13.3.1 of the HTML 4 specification (*[HTML4]*) explains the conditional rendering rules of (nested) `OBJECT` elements.
- The `switch` element and test attributes in SMIL 1.0. Sections 4.3 and 4.4, respectively, of SMIL 1.0 *[SMIL]* explain the conditional rendering rules of these features.
- SVG 1.0 *[SVG]* also includes a `switch` element and several attributes for conditional processing.
- The `NOSCRIPT` and `NOFRAMES` elements in HTML 4 *[HTML4]* allow the author to provide content under conditions when the user agent does not support scripts or frames, or the user has turned off support for scripts or frames.

Specifications vary in how completely they define how and when to render conditional content. For instance, the HTML 4 specification includes the rendering conditions for the "`alt`" attribute, but not for the "`title`" attribute. The HTML 4 specification does indicate that the "`title`" attribute should be

available to users through the user interface ("Values of the title attribute may be rendered by user agents in a variety of ways...").

Note: The Web Content Accessibility Guidelines 1.0 requires that authors provide text equivalents for non-text content. This is generally done by using the conditional content mechanisms of a markup language. Since conditional content may not be rendered by default, the current document requires the user agent to provide access to unrendered conditional content (checkpoint 2.3 and checkpoint 2.9) as it may have been provided to promote accessibility.

Configure, ***Control***

In the context of this document, the verbs "to control" and "to configure" share in common the idea of governance such as a user may exercise over interface layout, user agent behavior, rendering style, and other parameters required by this document. Generally, the difference in the terms centers on the idea of *persistence*. When a user makes a change by "controlling" a setting, that change usually does not persist beyond that user session. On the other hand, when a user "configures" a setting, that setting typically persists into later user sessions. Furthermore, the term "control" typically means that the change can be made easily (such as through a keyboard shortcut) and that the results of the change occur immediately, whereas the term "configure" typically means that making the change requires more time and effort (such as making the change via a series of menus leading to a dialog box, via style sheets or scripts, etc.) and that the results of the change may not take effect immediately (e.g., due to time spent reinitializing the system, initiating a new session, rebooting the system). In order to be able to configure and control the user agent, the user needs to be able to "read" as well as "write" values for these parameters. Configuration settings may be stored in a profile . The range and granularity of the changes that can be controlled or configured by the user may depend on limitations of the operating environment or hardware.

Both configuration and control may apply at different "levels": across Web resources (i.e., at the user agent level, or inherited from the operating environment), to the entirety of a Web resource, or to components of a Web resource (e.g., on a per-element basis). In this document, the term ***global configuration*** is used to emphasize when a configuration applies across Web resources. For example, users may configure the user agent to apply the same font family across Web resources, so that all text is displayed by default using that font family. On the other hand, the user may wish to configure the rendering of a particular element type, which may be done through style sheets. Or, the user may wish to control the text size dynamically (zooming in and out) for a given document, without having to reconfigure the user agent. Or, the user may wish to control the text size dynamically for a given element, e.g., by navigating to the element and zooming in on it.

User agents may allow users to choose configurations based on various parameters, such as hardware capabilities, natural language, etc.

Note: In this document, the noun "control" means "user interface component" or "form component".

Content

In this specification, the noun "content" is used in three ways:

1. It is used to mean the document object as a whole or in parts.
2. It is used to mean the content of an HTML or XML element, in the sense employed by the XML 1.0 specification (*[XML]* , section 3.1): "The text between the start-tag and end-tag is called the element's content." Context should indicate that the term content is being used in this sense.
3. It is used in the context of the phrases non-text content and text content .

Device-independence

Device-independence refers to the ability to make use of software with any supported input or output device.

Document Object, ***Document Object Model***

In general usage, the term "document object" refers to the user agent's representation of data (e.g., a document). This data generally comes from the document source , but may also be generated (from style sheets, scripts, transformations, etc.), produced as a result of preferences set within the user agent, added as the result of a repair performed automatically by the user agent, etc. Some data that is part of the document object is routinely rendered (e.g., in HTML, what appears between the start and end tags of elements and the values of attributes such as "alt", "title", and "summary"). Other parts of the document object are generally processed by the user agent without user awareness, such as DTD-defined names of element types and attributes, and other attribute values such as "href", "id", etc. These guidelines require that users have access to both types of data through the user interface. Most of the requirements of this document apply to the document object after its construction. However, a few checkpoints (e.g., checkpoint 2.7 and checkpoint 2.10) may affect the construction of the document object.

A "document object model" is the abstraction that governs the construction of the user agent's document object. The document object model employed by different user agents may vary in implementation and sometimes in scope. This specification requires that user agents implement the APIs defined in Document Object Model (DOM) Level 2 Specifications (*[DOM2CORE]* and *[DOM2STYLE]*) for access to HTML, XML, and CSS content. These DOM APIs allow authors to access and modify the content via a scripting language (e.g., JavaScript) in a consistent manner across different scripting languages. As a standard interface, the DOM APIs make it easier not just for authors, but for assistive technology developers to extract information and render it in ways most suited to the needs of particular users.

Document character set

A document character set (an concept taken from SGML) is a sequence of abstract characters that may appear in Web content represented in a particular format (such as HTML, XML, etc.). A document character set consists of:

- a "repertoire", A set of abstract characters, such as the Latin letter "A", the

Cyrillic letter "I", the Chinese character meaning "water", etc.

- Code positions: A set of integer references to characters in the repertoire.

For instance, the character set required by the HTML 4 specification *[HTML4]* is defined in the Unicode specification *[UNICODE]* . Refer to "Character Model for the World Wide Web" *[CHARMOD]* for more information about document character sets.

Document source, ***Document source view***

In this document, the term "document source" refers to the data that the user agent receives as the direct result of a request for a Web resource (e.g., as the result of an HTTP/1.1 *[RFC2616]* "GET", or as the result of viewing a resource on the local file system). The document source is generally a subset of the document object (e.g., since the document object may include repair content).

Documentation

Documentation refers to information that supports the use of a product. This information may be found in product manuals, installation instructions, the help system, tutorials, etc. Documentation may be distributed (e.g., some parts may be delivered on CD-ROM, others on the Web). Refer to guideline 12 for information about documentation requirements.

Element

This document uses the term "element" both in the XML sense (an element is a syntactic construct as described in the XML 1.0 specification *[XML]* , section 3) and more generally to mean a type of content (such as video or sound) or a logical construct (such as a header or list).

Enabled element, ***disabled element***

An enabled element is a piece of content with associated behaviors that may be activated through the user interface or through an API . The set of elements that a user agent enables is generally derived from, but is not limited to, the set of interactive elements defined by implemented markup languages.

Some elements may only be enabled elements for part of a user session. For instance, an element may be disabled by a script as the result of user interaction. Or, an element may only be enabled during a given time period (e.g., during part of a SMIL 1.0 *[SMIL]* presentation). Or, the user may be viewing content in "read-only" mode, which may disable some elements.

A disabled element is a piece of content that is not an enabled element.

For the requirements of this document, user selection does not constitute user interaction with enabled elements. See the definition of content focus .

Note: Enabled and disabled elements come from content; they are not part of the user agent user interface .

Note: The term "active element" is not used in this document since it may suggest several different concepts, including: interactive element, enabled element, an element "in the process of being activated" (which is the meaning of ':active' in CSS2 *[CSS2]* , for example).

Equivalent (for content)

The term "equivalent" is used in this document as it is used in the Web Content Accessibility Guidelines 1.0 *[WCAG10]* :

> Content is "equivalent" to other content when both fulfill essentially the same function or purpose upon presentation to the user. In the context of this document, the equivalent must fulfill essentially the same function for the person with a disability (at least insofar as is feasible, given the nature of the disability and the state of technology), as the primary content does for the person without any disability.

Equivalents include text equivalents (e.g., text equivalents for images; text transcripts for audio tracks; collated text transcripts for multimedia presentations and animations) and non-text equivalents (e.g., a prerecorded auditory description of a visual track of a movie, or a sign language video rendition of a written text, etc.).

Each markup language defines its own mechanisms for specifying conditional content , and these mechanisms may be used by authors to provide text equivalents. For instance, in HTML 4 *[HTML4]* or SMIL 1.0 *[SMIL]* , authors may use the "`alt`" attribute to specify a text equivalent for some elements. In HTML 4, authors may provide equivalents (or portions of equivalents) in attribute values (e.g., the "summary" attribute for the `TABLE` element), in element content (e.g., `OBJECT` for external content it specifies, `NOFRAMES` for frame equivalents, and `NOSCRIPT` for script equivalents), and in prose. Please consult the Web Content Accessibility Guidelines 1.0 *[WCAG10]* and its associated Techniques document *[WCAG10-TECHS]* for more information about equivalents.

Events and scripting, event handler

User agents often perform a task when an event occurs that is due to user interaction (e.g., document loading, mouse motion or a key press), a request from the operating environment , etc. Some markup languages allow authors to specify that a script, called an ***event handler***, be executed when the event occurs. An event handler is "***explicitly associated with an element***" when the event handler is associated with that element through markup or the DOM. The term "***event bubbling***" describes a programming style where a single event handler dispatches events to more than one element. In this case, the event handlers are not explicitly associated with the elements receiving the events (except for the single element that dispatches the events).

Note: The combination of HTML, style sheets, the Document Object Model (DOM) and scripting is commonly referred to as "Dynamic HTML" or DHTML. However, as there is no W3C specification that formally defines DHTML, this document only refers to event handlers and scripts.

Explicit user request

In several checkpoints in this document, the term "explicit user request" is used to mean any user interaction recognized with certainty to be for a specific

purpose. For instance, when the user selects "New viewport" in the user agent's user interface, this is an explicit user request for a new viewport. On the other hand, it is not an explicit request when the user activates a link and that link has been marked up by the author to open a new viewport (since the user may not know that a new viewport will open). Nor is it an explicit user request even if the link text states "will open a new viewport". Some other examples of explicit user requests include "yes" responses to prompts from the user agent, configuration through the user agent's user interface, activation of known form submit controls, and link activation (which should not be assumed to mean more than "get this linked resource", even if the link text or title or role indicates more). Some examples of behaviors that happen without explicit user request include changes due to scripts.

Note: Users do make mistakes. For example, a user may submit a form inadvertently by activating a known form submit control. In this document, this type of mistake is still considered an explicit user request.

Fee link

For the purpose of this document, the term "fee link" refers to a link that when activated, debits the user's electronic "wallet" (generally, a "micropayment"). The link's role as a fee link is identified through markup (in a manner that the user agent can recognize). This definition of fee link excludes payment mechanisms (e.g., some form-based credit card transactions) that cannot be recognized by the user agent as causing payments. For more information about fee links, refer to "Common Markup for micropayment per-fee-links" *[MICROPAYMENT]* .

Focus, ***content focus***, ***user interface focus***, ***current focus***

Focus is a user interface mechanism that has the following properties:

- It designates a location of potential user interaction. In most user agents today, the focus is only sensitive to keyboard input, but this could be generalized to other input devices. The focus is highlighted in the viewport so that it stands out.
- The focus has state (more so than the transient pointing device), so the user may use it as a kind of "bookmark." For instance, the user may set the focus (through the user interface or programmatically), review other content (e.g., by scrolling the viewport or otherwise moving the point of regard), and then return to the focus having decided to activate the designed enabled element.

User agents generally implement two types of focus:

- The "content focus" designates an enabled element . A viewport has at most one content focus. The content focus may be empty (i.e., refer to no location in content).
- The "user interface focus" designates a control of the user agent's user interface (e.g., a radio button, text box, menu, etc.). A viewport has at most one user interface focus.

In this document,the unmodified term "focus" means both "content focus" and "user agent focus".

When several viewports coexist, at most one viewport's content focus **or** user interface focus receives input events; this is called the current focus.

Graphical

In this document, the term "graphical" refers to information (text, colors, graphics, images, animations, etc.) rendered for visual consumption.

Highlight

In this document, "to highlight" means to emphasize through the user interface. For example, user agents highlight which content is selected or focused. Graphical highlight mechanisms include dotted boxes, underlining, and reverse video. Synthesized speech highlight mechanisms include alterations of voice pitch and volume.

Input configuration

An input configuration is the mapping of user agent functionalities to some user interface input mechanisms (e.g., menus, buttons, keyboard keys, voice commands, etc.). The default input configuration is the mapping the user finds after installation of the software; it must be documented (per checkpoint 12.3]). Input configurations may be affected by author-specified bindings (e.g., through the "accesskey" attribute of HTML 4 *[HTML4]*).

Interactive element

An interactive element is piece of content that, by specification, may have associated behaviors to be executed or carried out as a result of user or programmatic interaction. For instance, the interactive elements of HTML 4 *[HTML4]* include: links, image maps, form controls, elements with a value for the "longdesc" attribute, and elements with event handlers explicitly associated with them (e.g., through the various "on" attributes). The role of an element as an interactive element is subject to applicability . See also enabled element .

Natural language

Natural language is spoken, written, or signed human language such as French, Japanese, and American Sign Language. On the Web, the natural language of content may be specified by markup or HTTP headers. Some examples include the "lang" attribute in HTML 4 (*[HTML4]* section 8.1), the "xml:lang" attribute in XML 1.0 (*[XML]* , section 2.12), the HTML 4 "hreflang" attribute for links in HTML 4 (*[HTML4]* , section 12.1.5), the HTTP Content-Language header (*[RFC2616]* , section 14.12) and the Accept-Language request header (*[RFC2616]* , section 14.4). See also the definition of script .

Normative, ***informative***

As used in this document, the term "normative" refers to "that on which the requirements of this document depend for their most precise statement." What is normative is required for conformance (though the conformance scheme of this document allows claimants to exempt certain normative provisions as long as the claim discloses the exemption). What is identified as "informative" (sometimes, "non-normative") is never required for conformance.

Operating environment

The term "operating environment" refers to the environment that governs the user agent's operation, whether it is an operating system or a programming language environment such as Java.

Placeholder

A placeholder is content generated by the user agent to replace author-supplied content. A placeholder may be generated as the result of a user preference (e.g., to not render images) or as repair content (e.g., when an image cannot be found). Placeholders can be any type of content, including text and images.

This document includes requirements that the user be able to view the original author-supplied content associated with a placeholder. To satisfy these requirements, the user agent might render the content in place of the placeholder or in a separate viewport (leaving the placeholder as is). A request to view the original content associated with a placeholder is considered an explicit user request to render that content.

This document does not require user agents to include placeholders in the document object . A placeholder that is inserted in the document object should conform to the Web Content Accessibility Guidelines 1.0 *[WCAG10]* . If a placeholder is not part of the document object, it is part of the user interface only (and subject, for example, to checkpoint 1.3).

Point of regard

The point of regard is a position in rendered content that the user is presumed to be viewing. The dimensions of the point of regard may vary. For example, it may be a point (e.g., a moment in an audio rendering or a cursor in a graphical rendering), or a range of text (e.g., focused text), or a two-dimensional area (e.g., content rendered through a two-dimensional graphical viewport). The point of regard is almost always within a viewport (though the dimensions of the point of regard could exceed those of the viewport). The point of regard may also refer to a particular moment in time for content that changes over time (e.g., an audio-only presentation). User agents may determine the point of regard in a number of ways, including based on viewport position in content, content focus , selection , etc. A user agent should not change the point of regard unexpectedly as this may disorient the user.

Profile

A profile is a named and persistent representation of user preferences that may be used to configure a user agent. Preferences include input configurations, style preferences, natural language preferences, etc. In operating environments with distinct user accounts, profiles enable users to reconfigure software quickly when they log on, and profiles may be shared by several users.
Platform-independent profiles are useful for those who use the same user agent on different platforms.

Prompt

In this document, "to prompt" means to require input from the user. The user agent should allow users to configure how they wish to be prompted. For instance, for a user agent functionality X, configurations might include: always

do X without prompting me, never do X without prompting me, never do X but tell me when you could have, never do X and never tell me that you could have, etc.

Properties, values, and defaults

A user agent renders a document by applying formatting algorithms and style information to the document's elements. Formatting depends on a number of factors, including where the document is rendered: on screen, on paper, through loudspeakers, on a braille display, on a mobile device, etc. Style information (e.g., fonts, colors, speech prosody, etc.) may come from the elements themselves (e.g., certain font and phrase elements in HTML), from style sheets, or from user agent settings. For the purposes of these guidelines, each formatting or style option is governed by a property and each property may take one value from a set of legal values. Generally in this document, the term "property" has the meaning defined in CSS 2 (*[CSS2]* , section 3). A reference to "styles" in this document means a set of style-related properties.

The value given to a property by a user agent when it is installed is called the property's ***default value***.

Recognize

Authors encode information in markup languages, style sheet languages, scripting languages, protocols, etc. When the information is encoded in a manner that allows the user agent to process it with certainty, the user agent can "recognize" the information. For instance, HTML allows authors to specify a heading with the H1 element, so a user agent that implements HTML can recognize that content as a heading. If the author creates headings using a visual effect alone (e.g., by increasing the font size), then the author has encoded the heading in a manner that does not allow the user agent to recognize it as a heading.

Some requirements of this document depend on content roles, content relationships, timing relationships, and other information supplied by the author. These requirements only apply when the author has encoded that information in a manner that the user agent can recognize. See the section on conformance for more information about applicability.

In practice, user agents will rely heavily on information that the author has encoded in a markup language or style sheet language. On the other hand, behaviors, style, and meaning encoded in a script may not be recognized by the user agent as easily. For instance, a user agent is not expected to recognize that, when executed, a script will calculate a factorial. The user agent will be able to recognize some information in a script by virtue of implementing the scripting language or a known program library (e.g., the user agent is expected to recognize when a script will open a viewport or retrieve a resource from the Web). The Techniques document *[UAAG10-TECHS]* lists some markup known to affect accessibility that user agents can recognize.

Rendered content, ***rendered text***

Rendered content is the part of content capable of being perceived by a user through a given viewport (whether visual, auditory, or tactile). Some rendered

content may lie "outside" of a viewport at some times (e.g., when the user can only view a portion of a large document through a small graphical viewport, when audio content has already been played, etc.). By changing the viewport's position, the user can view the remaining rendered content.
Note: In the context of this document, "invisible content" is content that influences graphical rendering of other content but is not rendered itself. Similarly, "silent content" is content that influences audio rendering of other content but is not rendered itself. Neither invisible nor silent content is considered rendered content.

Repair content, ***repair text***

In this document, the term "repair content" refers to content generated by the user agent in order to correct an error condition. "Repair text" means repair content consisting only of text . Some error conditions that may lead to the generation of repair content include:

- Erroneous or incomplete content (e.g., ill-formed markup, invalid markup, missing conditional content that is required by specification, etc.);
- Missing resources for handling or rendering content (e.g., the user agent lacks a font family to display some characters, the user agent doesn't implement a particular scripting language, etc.);

This document does not require user agents to include repair content in the document object . Repair content inserted in the document object should conform to the Web Content Accessibility Guidelines 1.0 *[WCAG10]* . For more information about repair techniques for Web content and software, refer to "Techniques for Authoring Tool Accessibility Guidelines 1.0" *[ATAG10-TECHS]* .

Script

In this document, the term "script" almost always refers to a scripting (programming) language used to create dynamic Web content. However, in checkpoints referring to the written (natural) language of content, the term "script" is used as in Unicode *[UNICODE]* to mean "A collection of symbols used to represent textual information in one or more writing systems."

Selection, ***current selection***

The selection generally identifies a range of content (e.g., text, images, etc.) in a document. This range may be empty. The selection may be structured (based on the document tree) or unstructured (e.g., text-based). Content may be selected through user interaction, scripts, etc. The selection may be used for a variety of purposes: for cut and paste operations, to designate a specific element in a document for the purposes of a query, to identify what a screen reader should read, etc. The selection may be set by the user (e.g., by a pointing device or the keyboard) or through an application programming interface (API).

A viewport has at most one selection (though the selection may be rendered graphically as discontinuous text fragments). When several viewports coexist, at most one viewport's selection receives input events; this is called the current selection.

On the screen, the selection may be highlighted using colors, fonts, graphics, magnification, etc. The selection may also be rendered through changes in speech prosody, for example.

Support, ***implement***, ***conform***

In this document, the terms "support", "implement", and "conform" all refer to what a developer has designed a user agent to do, but they represent different degrees of specificity. A user agent "supports" general classes of objects, such as "images" or "Japanese". A user agent "implements" a specification (e.g., the PNG and SVG image format specifications, a particular scripting language, etc.) or an API (e.g., the DOM API) when it has been programmed to follow all or part of a specification. A user agent "conforms to" a specification when it implements the specification *and* satisfies its conformance criteria. This document includes some explicit conformance requirements (e.g., to a particular level of the "Web Content Accessibility Guidelines 1.0" *[WCAG10]*).

Synchronize

In this document, "to synchronize" refers to the time-coordination of two or more presentation components (e.g., in a multimedia presentation, a visual track with captions). For Web content developers, the requirement to synchronize means to provide the data that will permit sensible time-coordinated rendering by a user agent. For example, Web content developers can ensure that the segments of caption text are neither too long nor too short, and that they map to segments of the visual track that are appropriate in length. For user agent developers, the requirement to synchronize means to present the content in a sensible time-coordinated fashion under a wide range of circumstances including technology constraints (e.g., small text-only displays), user limitations (slow reading speeds, large font sizes, high need for review or repeat functions), and content that is sub-optimal in terms of accessibility.

Text

In this document, the term "text" used by itself refers to a sequence of characters from a markup language's document character set . Refer to the "Character Model for the World Wide Web " *[CHARMOD]* for more information about text and characters. **Note:** This document makes use of other terms that include the word "text" that have highly specialized meanings: collated text transcript , non-text content , text content , non-text element , text element , text equivalent , and text transcript .

Text content, ***non-text content***, ***text element***, ***non-text element***, ***text equivalent*** ***non-text equivalent***

As used in this document a "text element" adds text characters to either content or the user interface . Both in the Web Content Accessibility Guidelines 1.0 *[WCAG10]* and in this document, text elements are presumed to produce text that can be understood when rendered visually, as speech, or as Braille. Such text elements benefit at least these three groups of users:

1. visually-displayed text benefits users who are deaf and adept in reading visually-displayed text;
2. synthesized speech benefits users who are blind and adept in use of synthesized speech;

3. braille benefits users who are deaf-blind and adept at reading braille.

A text element may consist of both text and non-text data. For instance, a text element may contain markup for style (e.g., font size or color), structure (e.g., heading levels), and other semantics. The essential function of the text element should be retained even if style information happens to be lost in rendering.

A user agent may have to process a text element in order to have access to the text characters. For instance, a text element may consist of markup, it may be encrypted or compressed, or it may include embedded text in a binary format (e.g., JPEG).

"Text content" is content that is composed of one or more text elements. A "text equivalent" (whether in content or the user interface) is an equivalent composed of one or more text elements. Authors generally provide text equivalents for content by using the conditional content mechanisms of a specification.

A "non-text element" is an element (in content or the user interface) that does not have the qualities of a text element. "Non-text content" is composed of one or more non-text elements. A "non-text equivalent" (whether in content or the user interface) is an equivalent composed of one or more non-text elements.

Note that the terms "text element" and "non-text element" are defined by the characteristics of their output (e.g., rendering) rather than those of their input (e.g., information sources) or their internals (e.g., format). Both text elements and non-text elements should be understood as "pre-rendering" content in contrast to the "post-rendering" content that they produce.

Text decoration

In this document, a "text decoration" is any stylistic effect that the user agent may apply to visually rendered text that does not affect the layout of the document (i.e., does not require reformatting when applied or removed). Text decoration mechanisms include underline, overline, and strike-through.

Text transcript

A text transcript is a text equivalent of audio information (e.g., an audio-only presentation or the audio track of a movie or other animation). It provides text for both spoken words and non-spoken sounds such as sound effects. Text transcripts make audio information accessible to people who have hearing disabilities and to people who cannot play the audio. Text transcripts are usually pre-written but may be generated on the fly (e.g., by speech-to-text converters). See also the definitions of captions and collated text transcripts .

User agent

In this document, the term "user agent" is used in two ways:

1. Any software that retrieves and renders Web content for users. This may include Web browsers, media players, plug-ins, and other programs – including assistive technologies -- that help in retrieving and rendering Web content.
2. The subject of a conformance claim to this document. This is the most common use of the term in this document and is the usage in the

checkpoints.

User agent default styles

User agent default styles are style property values applied in the absence of any author or user styles. Some markup languages specify a default rendering for documents in that markup language. Other specifications may not specify default styles. For example, XML 1.0 *[XML]* does not specify default styles for XML documents. HTML 4 *[HTML4]* does not specify default styles for HTML documents, but the CSS 2 *[CSS2]* specification suggests a sample default style sheet for HTML 4 based on current practice.

User interface

For the purposes of this document, user interface includes both:

1. the "***user agent user interface***", i.e., the controls and mechanisms offered by the user agent for user interaction, such as menus, buttons, keyboard access, etc.
2. the "content user interface", i.e., the enabled elements that are part of content, such as form controls, links, applets, etc.

The document distinguishes them only where required for clarity.

User styles

User styles are style property values that come from user interface settings, user style sheets, or other user interactions.

Visual-only presentation

An visual-only presentation is content consisting exclusively of one or more visual tracks presented concurrently or in series. Examples of an visual-only presentation include a silent movie.

Visual track

A visual object is content rendered through a graphical viewport . Visual objects include graphics, text, and visual portions of movies and other animations. An visual track is a visual object that is intended as a whole or partial presentation. A visual track does not necessarily correspond to a single physical object or software object. A visual track may be text-based or graphic. A visual track may be static or involve animation .

Views, ***viewports***

User agents may handle different types of content : markup language, sound, video, etc. The user views rendered content through a viewport. Viewports include windows, frames, pieces of paper, loudspeakers, virtual magnifying glasses, etc. A viewport may contain another viewport (e.g., nested frames). User interface controls such as prompts, menus, alerts, etc. are not viewports. When the dimensions (spatial or temporal) of a viewport exceed the dimensions of rendered content, the viewport includes mechanisms such as scroll bars and advance and rewind functionalities to provide access to the content.

When several viewports coexist, only one has the current focus at a given moment. This viewport is highlighted to make it stand out.

User agents may render the same content in a variety of ways; each rendering is called a ***view***. For instance, a user agent may allow users to view an entire document or just a list of the document’s headers. These are two

different views of the document.

Voice browser

From "Introduction and Overview of W3C Speech Interface Framework" *[VOICEBROWSER]* : "A voice browser is a device (hardware and software) that interprets voice markup languages to generate voice output, interpret voice input, and possibly accept and produce other modalities of input and output."

Web resource

The term "Web resource" is used in this document in accordance with Web Characterization Terminology and Definitions Sheet *[WEBCHAR]* to mean anything that can be identified by a Uniform Resource Identifier (URI); refer to RFC 2396 *[RFC2396]* .

5. References

For the **latest version** of any W3C specification please consult the list of W3C Technical Reports at http://www.w3.org/TR/. Some documents listed below may have been superseded since the publication of this document.

Note: In this document, bracketed labels such as "[HTML4]" link to the corresponding entries in this section. These labels are also identified as references through markup.

5.1 How to refer to this document

There are two recommended ways to refer to the "User Agent Accessibility Guidelines 1.0" (and to W3C documents in general):

1. References to a specific version of "User Agent Accessibility Guidelines 1.0". For example, use the "this version" URI to refer to the current document: http://www.w3.org/TR/2001/WD-UAAG10-20010409/.
2. References to the latest version of "User Agent Accessibility Guidelines 1.0". Use the "latest version" URI to refer to the most recently published document in the series: http://www.w3.org/TR/UAAG10/.

In almost all cases, references (either by name or by link) should be to a specific version of the document. W3C will make every effort to make this document indefinitely available at its original address in its original form. The top of this document includes the relevant catalog metadata for specific references (including title, publication date, "this version" URI, editors' names, and copyright information).

An XHTML 1.0 *[XHTML10]* paragraph including a reference to this specific document might be written:

```
<p>
<cite><a href="http://www.w3.org/TR/2001/WD-UAAG10-20010409/">
"User Agent Accessibility Guidelines 1.0"</a></cite>,
I. Jacobs, J. Gunderson, E. Hansen, eds.,
W3C Working Draft, 9 April 2001.
The <a href="http://www.w3.org/TR/UAAG10/">latest
version</a> of this document is available at
http://www.w3.org/TR/UAAG10/.</p>
```

For very general references to this document (where stability of content, anchors, etc., is not required), it may be appropriate to refer to the latest version of this document. In this case, please use the "latest version" URI at the top of this document.

See also information about making conformance claims to this document.

5.2 Normative references

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"Document Object Model (DOM) Level 2 Core Specification", A. Le Hors, P. Le Hégaret, L. Wood, G. Nicol, J. Robie, M. Champion, S. Byrne, eds., 13 November 2000. This W3C Recommendation is http://www.w3.org/TR/2000/REC-DOM-Level-2-Core-20001113/.

[DOM2STYLE]
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"Multipurpose Internet Mail Extensions (MIME) Part Two: Media Types", N. Freed, N. Borenstein, November 1996.

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"Key words for use in RFCs to Indicate Requirement Levels", S. Bradner, March 1997.

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"Web Content Accessibility Guidelines 1.0", W. Chisholm, G. Vanderheiden, and I. Jacobs, eds., 5 May 1999. This W3C Recommendation is http://www.w3.org/TR/1999/WAI-WEBCONTENT-19990505/.

5.3 Informative references

[AT1998]
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"PNG (Portable Network Graphics) Specification 1.0", T. Boutell, ed., 1 October 1996. This W3C Recommendation is http://www.w3.org/TR/REC-png.

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[SVG]
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[UAAG10-CHECKLIST]
An appendix to this document lists all of the checkpoints, sorted by priority. The checklist is available in either tabular form or list form.

[UAAG10-SUMMARY]
An appendix to this document provides a summary of the goals and structure of User Agent Accessibility Guidelines 1.0.

[UAAG10-TECHS]
"Techniques for User Agent Accessibility Guidelines 1.0", I. Jacobs, J. Gunderson, E. Hansen, eds. The latest draft of the techniques document is available at http://www.w3.org/TR/UAAG10-TECHS/.

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"The Unicode Standard, Version 3.0", The Unicode Consortium, Reading, MA, Addison-Wesley Developers Press, 2000. ISBN 0-201-61633-5. Refer also to http://www.unicode.org/unicode/standard/versions/. For information about character encodings , refer to Unicode Technical Report #17 "Character Encoding Model".

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